

Constitutive expression of B7 restores immunogenicity of tumor cells expressing truncated major histocompatibility complex class II molecules

(CD28/tumor immunity)

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ABSTRACT The inability of the autologous host to reject resident tumor cells is frequently the result of inadequate generation of tumor-specific T cells. Specific activation of T cells occurs after delivery of two signals by the antigen-presenting cell. The first signal is antigen-specific and is the engagement of the T-cell antigen receptor by a specific major histocompatibility complex antigen-peptide complex. For some T cells, the second or costimulatory signal is the interaction of the T-cell CD28 receptor with the B7 activation molecule of the antigen-presenting cell. In the present study, we demonstrate that mouse sarcoma cells genetically engineered to provide both T-cell activation signals stimulate potent tumor-specific CD4⁺ T cells that cause rejection of both engineered and wild-type neoplastic cells. Two other recent studies have also demonstrated that costimulation via B7 can improve tumor immunity. However, our study differs from these reports by two important observations. (i) One of these studies utilized mouse tumor cells expressing xenogeneic viral antigens, and hence, the results are not applicable to wild-type resident tumors. Our study, however, demonstrates that coexpression of B7 by major histocompatibility complex class II⁺ tumor cells induces immunity in the autologous host that is specific for naturally occurring tumor antigens of poorly immunogenic tumors. (ii) In both earlier studies, only CD8⁺ T cells were activated after coexpression of B7, whereas in the present report, tumor-specific CD4⁺ T cells are generated. This report therefore illustrates the role of the B7 activation molecule in stimulating potent tumor-specific CD4⁺ T cells that mediate rejection of wild-type tumors and provides a theoretical basis for immunotherapy of established tumors.

Rejection of a tumor by the autologous host is often mediated by tumor-specific T lymphocytes. Recent studies from a number of laboratories (1-3) suggest that the inability of the host to reject a resident tumor may be due to the insufficient generation of tumor-specific T helper lymphocytes. CD4⁺ T helper cells are specifically activated when they receive two signals delivered by an appropriate antigen-presenting cell (APC) (4). The first signal is the engagement of the antigen-specific T-cell receptor by the major histocompatibility complex (MHC) class II antigen-peptide complex. The second or costimulatory signal can vary from system to system, but for at least some lymphocytes, it is the binding of the B7 molecule to its cognate receptor, CD28, on the responding T cell (5-8). In this report we show that malignant tumor cells can be highly effective immunogens in the autologous host if they are engineered to present tumor antigen and deliver the

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B7 coactivation signal. Immunization with such engineered tumor cells generates potent tumor-specific CD4⁺ T cells that facilitate rejection and confer immunologic memory to high-dose challenges of wild-type neoplastic cells. These results demonstrate the critical role of the B7 costimulatory pathway in stimulating tumor-specific CD4⁺ T cells and provide an attractive strategy for enhancing tumor immunity.

MATERIALS AND METHODS

Cells. SaI tumor cells were maintained as described (1).

Antibodies. The monoclonal antibody (mAb) 10-3.6, specific for I-A^k (9), was prepared and used as described (1). The B7-specific mAb 1G10 is a rat IgG2a mAb and was used as described (10). mAbs specific for CD4⁺ [GK1.5 (11)] and CD8⁺ [2.43 (12)] were used as ascites fluid.

Transfections. Mouse SaI sarcoma cells were transfected as described (1) with wild-type Aa^k and Ab^k MHC class II cDNAs, Aa^k and Ab^k cDNAs truncated for their C-terminal 12 and 10 amino acids, respectively (13), and/or B7 gene (14). Class II transfectants were cotransfected with pSV2neo plasmid and selected for resistance to G418 (400 µg/ml). B7 transfectants were cotransfected with pSV2php plasmid and selected for hygromycin-resistance (400 µg/ml). All transfectants were cloned twice by limiting dilution, except SaI/B7 transfectants, which were uncloned, and maintained in drug. Double transfectants were maintained in G418 plus hygromycin. The numbers after each transfectant are the clone designation.

Immunofluorescence. Indirect immunofluorescence was performed as described (1), and samples were analyzed on an Epics C flow cytometer.

Tumor Challenges. For primary tumor challenges, autologous A/J mice were challenged i.p. with the indicated number of tumor cells. Inoculated mice were checked three times per week for tumor growth. Mean survival times of mice dying from their tumor ranged from 13 to 28 days after inoculation. Mice were considered to have died from their tumor if they contained a large volume of ascites fluid and tumor cells (≥ 5 ml) at the time of death. Mice were considered tumor-resistant if they were tumor-free for at least 60 days after tumor challenge (range, 60-120 days). Tumor cells were monitored by indirect immunofluorescence for I-A^k and B7 expression prior to tumor-cell inoculation. For the experiments of Table 2, autologous A/J mice were immunized i.p. with a single inoculum of the indicated number of live tumor cells and challenged i.p. with the indicated number of wild-

Abbreviations: MHC, major histocompatibility complex; APC, antigen-presenting cell; mAb, monoclonal antibody.

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type Sal cells 42 days after immunization. Mice were evaluated for tumor resistance or susceptibility using the same criteria as for primary tumor challenge.

In vivo T-Cell Depletions. A/J mice were depleted for CD4⁺ or CD8⁺ T cells by i.p. inoculation with 100 μ l of ascites fluid or mAb 2.43 (CD8⁺ specific; ref. 11) or mAb 2.43 (CD4⁺ specific; ref. 12) on days -6, -3, and -1 prior to tumor challenge, and every third day after tumor challenge as described (15) until the mice died or day 28, whichever came first. Presence or absence of tumor was assessed up to day 28. Previous studies have established that A/J mice with large tumors at day 28 after injection will progress to death. This time point was, therefore, chosen to assess tumor susceptibility for the *in vivo* depletion experiments. One mouse per group was sacrificed on day 28, and its spleen was assayed by immunofluorescence to ascertain depletion of the relevant T-cell population.

RESULTS

Coexpression of B7 Compensates for the Absence of the MHC Class II Cytoplasmic Domain and Restores Immunogenicity. The mouse Sal sarcoma is an ascites-adapted class I⁺ class II⁻ tumor of A/J ($H-2K^kA^kD^d$) mice. The wild-type tumor is lethal in autologous A/J mice when administered i.p. Sal cells transfected with, and expressing, syngeneic MHC class II genes (Aa^k and Ab^k genes; Sal/A^k cells) are immunologically rejected by the autologous host, and immunization with live Sal/A^k cells protects mice against subsequent challenges with wild-type class II⁻ Sal cells (1). Adoptive transfer (16) and lymphocyte depletion studies (E. Lamoussé-Smith and S.O.-R., unpublished data) demonstrate that Sal and Sal/A^k rejection is dependent on CD4⁺ lymphocytes. Sal cells expressing class II molecules with truncated cytoplasmic domains (Sal/A^ktr cells), however, are as lethal as wild-type class II⁻ Sal cells, suggesting that the cytoplasmic region of the class II heterodimer is required to induce protective immunity (17).

It has recently been demonstrated that up-regulation of the B7 activation molecule on the APC is triggered by intracellular signals transmitted by the cytoplasmic domain of the class II heterodimer, after presentation of antigen to CD4⁺ T helper cells (10). Inasmuch as B7 expression is normally up-regulated *in vivo* on Sal cells expressing full-length class II molecules (S.B. and S.O.-R., unpublished data), we have speculated that Sal/A^ktr cells do not stimulate protective immunity because they do not transmit a costimulatory signal.

To test whether B7 expression can compensate for the absence of the class II cytoplasmic domain, Sal/A^ktr cells were supertransfected with a plasmid containing a cDNA encoding murine B7 under the control of the cytomegalovirus promoter and screened for I-A^k and B7 expression by indirect immunofluorescence. Wild-type Sal cells do not express either I-A^k or B7 (Fig. 1 a and b), whereas Sal cells transfected with Aa^k and Ab^k genes (Sal/A^k cells) or truncated Aa^k and Ab^k genes (Sal/A^ktr cells) express I-A^k (Fig. 1 d and f) and do not express B7 (Fig. 1 c and e). Sal cells transfected with truncated class II genes plus the B7 gene (Sal/A^ktr/B7 cells) express I-A^k and B7 molecules (Fig. 1 g and h). All cells express uniform levels of MHC class I molecules (K^k and D^d) comparable to the level of I-A^k in Fig. 1 h (data not shown).

Antigen-presenting activity of the transfectants was tested by determining their immunogenicity and lethality in autologous A/J mice. As shown in Table 1, wild-type Sal cells administered i.p. at doses as low as 10^4 cells are lethal in 88–100% of mice inoculated within 13–28 days after challenge, whereas 100 times as many Sal/A^k cells are uniformly rejected. Challenges with similar quantities of Sal/A^ktr cells are also lethal; however, Sal/A^ktr cells that coexpress B7

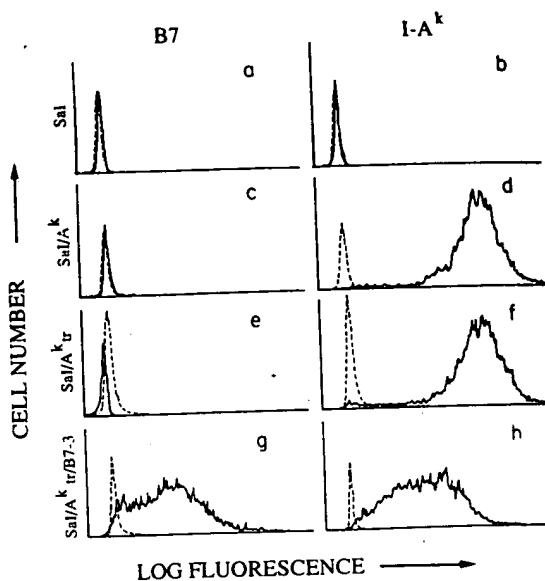


FIG. 1. Sal tumor cells transfected with $I-A^k$ and B7 genes express these molecules at the cell surface. Sal/A^k, Sal cells transfected with wild-type Aa^k and Ab^k genes, clone 19.6.4; Sal/A^ktr, Sal cells transfected with truncated Aa^k and Ab^k genes, clone 6.11.8; Sal/A^ktr/B7, Sal cells transfected with truncated Aa^k and Ab^k genes and supertransfected with the B7 gene. All Sal/A^ktr/B7 clones tested consistently express lower levels of MHC class II antigen than Sal/A^ktr or Sal/A^k cells. Abscissa represents three orders of magnitude of fluorescence intensity. Dotted lines represent control immunofluorescent staining by fluorescein isothiocyanate (FITC)-conjugated goat anti-mouse immunoglobulin (b, d, f, and h) or FITC-conjugated goat anti-rat immunoglobulin (a, c, e, and g); solid lines represent staining by I-A^k-specific mAb 10-3.6 (9) plus FITC-conjugated goat anti-mouse immunoglobulin (b, d, f, and h) or B7-specific mAb 1G10 (10) plus FITC-conjugated goat anti-rat immunoglobulin (a, c, e, and g).

(Sal/A^ktr/B7 clones -1 and -3) are uniformly rejected. A/J mice challenged with Sal/A^ktr cells transfected with the B7 construct, but not expressing detectable amounts of B7 antigen (Sal/A^ktr/hph cells), are as lethal as Sal/A^ktr cells, demonstrating that reversal of the malignant phenotype in Sal/A^ktr/B7 cells is due to expression of B7. Sal cells transfected with the B7 gene and not coexpressing truncated class II molecules (Sal/B7 cells, uncloned) are also as lethal as wild-type Sal cells, indicating that B7 expression without truncated class II molecules does not stimulate immunity. To

Table 1. Tumorigenicity of B7 and MHC class II-transfected Sal tumor cells

Challenge tumor	Expression		Tumor dose, no. of cells	Mice dead/mice tested, no./no.
	I-A ^k	B7		
Sal	—	—	1×10^6	9/10
	—	—	1×10^5	8/10
	—	—	1×10^4	7/8
Sal/A ^k 19.6.4	A ^k	—	1×10^6	0/12
	A ^k	—	5×10^5	0/5
	A ^k	—	1×10^5	0/5
Sal/A ^k tr 6.11.8	A ^k tr	—	1×10^6	12/12
	A ^k tr	—	5×10^5	5/5
	A ^k tr	—	1×10^5	5/10
Sal/A ^k tr/B7-1	A ^k tr	B7	1×10^6	0/4
Sal/A ^k tr/B7-3	A ^k tr	B7	1×10^6	0/5
	A ^k tr	B7	4×10^5	0/5
	A ^k tr	B7	1×10^5	0/5
Sal/A ^k tr/hph	A ^k tr	—	1×10^6	5/5
Sal/B7	—	B7	1×10^6	5/5

B

ascertain that rejection of *Sal/A^k* and *Sal/A^ktr/B7* cells is immunologically mediated, sublethally irradiated (900 rads; 1 rad = 0.01 Gy) A/J mice were challenged i.p. with these cells. In all cases, irradiated mice died from the tumor. We conclude that immunogenicity and host rejection of the MHC class II⁺ tumor cells are dependent on an intact class II molecule and that coexpression of B7 can bypass the requirement for the class II intracellular domain.

Immunization with B7-Transfected Sarcoma Cells Protects Against Later Challenges of Wild-Type B7⁻ Sarcoma. Activation of at least some T cells is thought to be dependent on coexpression of B7. However, once the T cells are activated, B7 expression is not required on the target cell for recognition by effector T cells. We have therefore tested the ability of three *Sal/A^ktr/B7* clones (B7-3, B7-1, and B7-2B5.E2) to immunize A/J mice against subsequent challenges of wild-type class II⁻ B7⁻ *Sal* cells (Table 2). A/J mice were immunized with live *Sal/A^ktr/B7* transfectants and 42 days later challenged with wild-type *Sal* tumor cells. Ninety-seven percent of mice immunized with the *Sal/A^ktr/B7* transfectants were immune to $\geq 10^6$ wild-type B7⁻ class II⁻ *Sal* cells, an immunity that is comparable to that induced by immunization with *Sal* cells expressing full-length class II molecules. *Sal/A^ktr/B7* cells, therefore, stimulate a potent response with long-term immunological memory against high-dose challenges of malignant tumor cells. B7 expression is, therefore, critical for the stimulation of *Sal*-specific effector cells; however, its expression is not needed on the tumor targets once the appropriate effector T-cell populations have been generated.

Immunization with B7-Transfected Tumor Cells Stimulates Tumor-Specific CD4⁺ Lymphocytes. To ascertain that B7 is functioning through a T-cell pathway in tumor rejection, we have *in vivo*-depleted A/J mice for CD4⁺ or CD8⁺ T cells and challenged them i.p. with *Sal/A^k* or *Sal/A^ktr/B7* cells. As shown in Table 3, *in vivo* depletion of CD4⁺ T cells results in host susceptibility to both *Sal/A^k* and *Sal/A^ktr/B7* tumors, indicating that CD4⁺ T cells are critical for tumor rejection, whereas depletion of CD8⁺ T cells does not affect *Sal/A^ktr/B7* tumor rejection. Although immunofluorescence analysis of splenocytes of CD8⁺-depleted mice demonstrates the absence of CD8⁺ T cells, it is possible that the depleted mice contain small quantities of CD8⁺ cells that are below our level of detection. These data therefore demonstrate that CD4⁺ T cells are required for tumor rejection but do not eliminate a possible corequirement for CD8⁺ T cells.

Previous adoptive transfer experiments (16) have demonstrated that both CD4⁺ and CD8⁺ T cells are required for rejection of class II⁻ wild-type *Sal* cells. Inasmuch as rejec-

Table 2. Autologous A/J mice immunized with *Sal/A^ktr/B7* cells are immune to challenges of wild-type *Sal* tumor

Immunization	No. of immunizing cells	Sal challenge dose, no. of cells	Mice dead/mice tested, no./no.
None	—	1×10^6	5/5
<i>Sal/A^k</i> 19.6.4	1×10^5 or 10^6	1×10^6	0/5
	1×10^6	6×10^6	0/5
<i>Sal/A^ktr/B7-3</i>	1×10^6	6×10^6	0/5
	1×10^6	1×10^6	0/5
	4×10^5	1×10^6	0/5
	1×10^5	5×10^6	0/5
<i>Sal/A^ktr/B7-1</i>	5×10^5	3×10^6	0/3
	2×10^5	1×10^6	0/2
	5×10^4	5×10^6	0/3
<i>Sal/A^ktr/B7-2B5.E2</i>	1×10^5	2×10^6	0/2
	5×10^4	2×10^6	1/7

Table 3. Tumor susceptibility of A/J mice *in vivo*-depleted for CD4⁺ or CD8⁺ T cells

Tumor challenge	Host T-cell depletion	No. mice with tumor/total no. mice challenged
<i>Sal/A^k</i>	CD4 ⁺	3/5
<i>Sal/A^ktr/B7-3</i>	CD4 ⁺	5/5
	CD8 ⁺	0/5

tion of *Sal/A^k* and *Sal/A^ktr/B7* cells appears to require only CD4⁺ T cells, it is likely that immunization with class II⁺ transfectants stimulates both CD4⁺ and CD8⁺ effector T cells; however, only the CD8⁺ effectors are required for rejection of class I⁺II⁻ tumor targets. Costimulation by B7, therefore, enhances immunity by stimulating tumor-specific CD4⁺ helper and cytotoxic lymphocytes.

DISCUSSION

In other recent studies, we have shown (18) that *Sal/A^k* cells supertransfected with the class II-associated invariant chain gene (II) are as malignant as wild-type *Sal* cells, indicating that class II⁺ tumor cells that coexpress II are unable to stimulate tumor-specific immunity. Inasmuch as II is thought to inhibit the presentation of endogenously synthesized peptides by class II molecules (19–26), these data suggest that the increased immunogenicity of *Sal/A^k* cells is due to the presentation of endogenously synthesized tumor peptides. Collectively, these data are consistent with the hypothesis that II⁻ *Sal/A^k* cells stimulate potent tumor-specific immunity because their class II molecules directly present endogenously synthesized tumor peptides to CD4⁺ T cells, thereby improving the generation of tumor-specific T helper cells. The ability of the class II⁺ tumor cells to directly present tumor peptides to CD4⁺ T helper cells bypasses the need for third-party APCs and probably improves tumor immunogenicity because soluble tumor antigen (in the form of tumor-cell debris or secreted protein) may not be available for uptake by professional APCs.

Inasmuch as rejection of the *Sal* sarcoma by autologous A/J mice is T-cell-mediated, these results support the two-signal model for T-cell activation in primary immune responses. Previous studies have established the requirement for a second signal for activation of T cells *in vitro* (5–8); however, the present results document the requirement for both first and second signals for effective T-cell activation within the complex *in vivo* setting of autologous tumor rejection.

The requirement for a costimulatory signal for generation of effective tumor-specific immunity raises the question of whether inadequate anti-tumor responses are due to insufficient generation of a first or second signal. Indeed, in the absence of costimulation, tumor-specific T cells may be anergized, leading to tolerance (4). This scenario may occur in malignant disease if tumor-cell debris is not present or if tumor antigens are not secreted, and hence, tumor peptides are not available for uptake by APCs that constitutively express costimulatory molecules such as the B7 activation antigen.

Although *Sal* is a weakly immunogenic tumor, it can induce effective tumor-specific immunity if, by transfection, it expresses the appropriate antigen-presenting elements (i.e., MHC class II molecules) and delivers the required signals (e.g., B7) to responding T cells. The inability of the autologous host to respond to wild-type tumor cells is, therefore, probably not due to lack of expression of tumor peptides but rather to inadequate presentation of these peptides and/or to delivery of the required additional activation signals.

Two other reports (27, 28) have also demonstrated the efficacy of B7 expression for improving tumor-specific immunity; however, two important differences distinguish the present report from these studies. In both of the previous studies, the K1735 mouse melanoma was transfected with the B7 gene. Interestingly, Chen *et al.* (27) cotransfected with the E7 viral gene from human papillomavirus, and the resulting immunity was specific for and dependent on expression of the E7 gene product. Inasmuch as E7⁺ melanoma cells were not targets for B7-stimulated effectors, this study suggested that constitutive B7 expression would not be applicable as immunotherapy for wild-type established tumors. In the Townsend and Allison study (28), however, using the same K1735 tumor, coexpression of a viral antigen was not required for immunity. Likewise, in our study, expression of a xenogeneic tumor antigen is not required, and immunity appears to be directed against endogenously encoded murine tumor molecules. Hence, our studies support the contention that coexpression of B7 can stimulate potent immunity to natural tumor antigens and, therefore, provide a strong experimental basis for stimulating immunity to spontaneous resident malignancies.

In the present report, we demonstrate that B7-transfected sarcoma cells stimulate potent tumor-specific CD4⁺ effector cells, whereas in the studies of Chen *et al.* (27) and Townsend and Allison (28), immunization with B7-transfected melanoma cells induced CD8⁺ effectors. This difference in effector population is probably the result of the presentation of tumor peptide by different MHC gene products. In the K1735 melanoma system, the tumor antigen is most likely presented by MHC class I molecules, whereas in our sarcoma system tumor peptide is presented by MHC class II molecules. Collectively, the three studies demonstrate that under the appropriate conditions, coexpression of B7 can optimize stimulation of both CD4⁺ and CD8⁺ T cells, thereby enhancing the tumor-specific immune response in both T-cell compartments.

In the experimental system described in this report, constitutive expression of B7 appears to provide the costimulatory signal for T-cell activation in the absence of the MHC class II cytoplasmic domain. Aside from being a formal demonstration of the role of the class II cytoplasmic domain in second signal induction, this result provides an experimental framework for improving tumor-specific immunity. Our previous approach for improving tumor-specific responses has been to constitutively express syngeneic MHC class II molecules in tumor cells (1) and rely on the transient induction of costimulatory signals during the immunization process. However, a wider repertoire of tumor-specific T cells may be activated, resulting in a more potent primary response, if B7 is stably expressed by the class II⁺ tumor. Tumor cells stably coexpressing B7 and syngeneic MHC class II molecules may, therefore, be very useful immunogens for protecting against subsequent metastatic disease and/or for rescuing individuals carrying established tumors.

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*Clinical significance of IgG Fc receptors and
Fc γ R-directed immunotherapies*

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Clinical significance of IgG Fc receptors and Fc γ R-directed immunotherapies

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The IgG Fc receptors (Fc γ Rs) are expressed primarily on immune effector cells, and link cellular and humoral immunity by serving as a bridge between antibody specificity and effector cell function. In this fashion, Fc γ Rs act as trigger molecules for inflammatory, cytolytic, allergic (hypersensitivity), endocytic and phagocytic activities of immune effector cells. Moreover, since many Fc γ R-bearing cells are also antigen-presenting cells (APCs; e.g. macrophages, dendritic cells), Fc γ R-mediated internalization via phagocytosis may also lead to antigen presentation and amplification of the immune response. These functions of Fc γ Rs are linked to activation and regulation of immune defense in various disease conditions. The position of Fc γ Rs as a gateway both to cellular and humoral aspects of the immune cascade makes them potentially attractive candidates for directed immunotherapy. This review focuses on the clinical significance of Fc γ Rs and developments in Fc γ R-directed therapies for cancer, infectious diseases and autoimmune disorders.



Fc γ R structure and function

There are three classes of Fc γ R: Fc γ RI (CD64), Fc γ RII (CD32) and Fc γ RIII (CD16). These classes comprise nine membrane-associated and three soluble Fc γ R molecules, encoded by eight genes (Fig. 1a). Fc γ Rs are expressed by most hematopoietic cells, and their expression can be enhanced by certain inflammatory cytokines such as interferon γ (IFN- γ) and granulocyte colony-stimulating factor (G-CSF) (Table 1)^{1,2}. With the exception of the glycosylphosphatidylinositol (GPI)-linked Fc γ RIIIb, all Fc γ Rs are transmembrane molecules belonging to the family of multichain immune recognition receptors (MIRRs), which also includes the B-cell receptor (BCR) and T-cell receptor (TCR). Fc γ RIa is a high-affinity receptor and contains three Ig-like domains in its extracellular region, instead of two as in all other Fc γ Rs. Fc γ RII and Fc γ RIII represent low-affinity receptors. Most Fc γ Rs exist as hetero-oligomeric complexes with a ligand-binding α -chain and a signaling component comprising γ , β - or ζ -chains (Fig. 1a, Table 1). Each signaling chain bears a unique ~26 amino acid immunoreceptor tyrosine-based activation

Fc receptors for IgG (Fc γ Rs) can trigger the inflammatory, cytotoxic and hypersensitivity functions of immune effector cells. Activation or deactivation of effector cells via Fc γ Rs can be exploited to develop novel therapies for cancer, infectious diseases and autoimmune disorders. Initial results of clinical trials for several Fc γ R-directed immunotherapies show the potential promise of this approach.

motif (ITAM) involved in activatory functions. A similar, albeit noncanonical, ITAM is located in the cytoplasmic region of Fc γ RIIa and appears to be critical for cell activation by this receptor¹. Recently, Fc γ RIIa has been shown to be capable of interacting with the FcR γ -chain, which modulates its signaling behavior^{3,4}. The Fc γ RIIb members contain a unique 13 amino acid immunoreceptor tyrosine-based inhibitory motif (ITIM) in their cytoplasmic domain, and this is important in immune-inhibitory functions. Conserved tyrosine and leucine residues within these signaling motifs play a central role in Fc γ R signal transduction⁵.

Additional Fc γ R heterogeneity is introduced by polymorphisms (Fig. 1b). The myeloid Fc γ RIIa (CD32) differs by a single amino acid within the second Ig-like domain, either an arginine or histidine at position 131 (Fc γ RIIa-R131 or Fc γ RIIa-H131)⁶. The neutrophil Fc γ RIIIb-NA1 and -NA2 allotypes differ by five nucleotides, which result in an increased number of glycosylation sites in Fc γ RIIIb-NA2 (six versus four)⁷. In addition, amino acid variation at position 48 distinguishes three allotypes of Fc γ RIIIa (Ref. 8). Furthermore, amino acid variation at position 158 of Fc γ RIIIa results in a polymorphism with functional consequences (H.R. Koene *et al.*, unpublished).

Although the extracellular domains of various Fc γ Rs do not exhibit exclusive specificity for ligands (Table 1), individual Fc γ Rs trigger characteristic biological responses determined by both the nature of the effector cell and the transmembrane and cytoplasmic regions of the receptor^{1,2}. Furthermore, the transmembrane domains of MIRRs may functionally interact. For example: on neutrophils, crosslinking of Fc γ RIIIb enhances Fc γ RIIa-mediated phagocytosis⁹; on B cells, co-crosslinking of Fc γ RIIb and the BCR results in down-modulation of antibody secretion; and, on neutrophils, complement receptor 3 (CR3; CD11b/CD18) acts as a signaling partner for GPI-linked Fc γ RIIIb (Refs 5, 10, 11). The first step in Fc γ R activation is receptor crosslinking, with as few as two crosslinked receptors activating the signaling cascade (Fig. 2). Crosslinking at the Fc γ R ligand-binding domain, as well as outside this domain [via anti-receptor monoclonal antibodies (mAbs)], triggers Fc γ R function^{1,12}. The second step involves phosphorylation of tyrosine residues

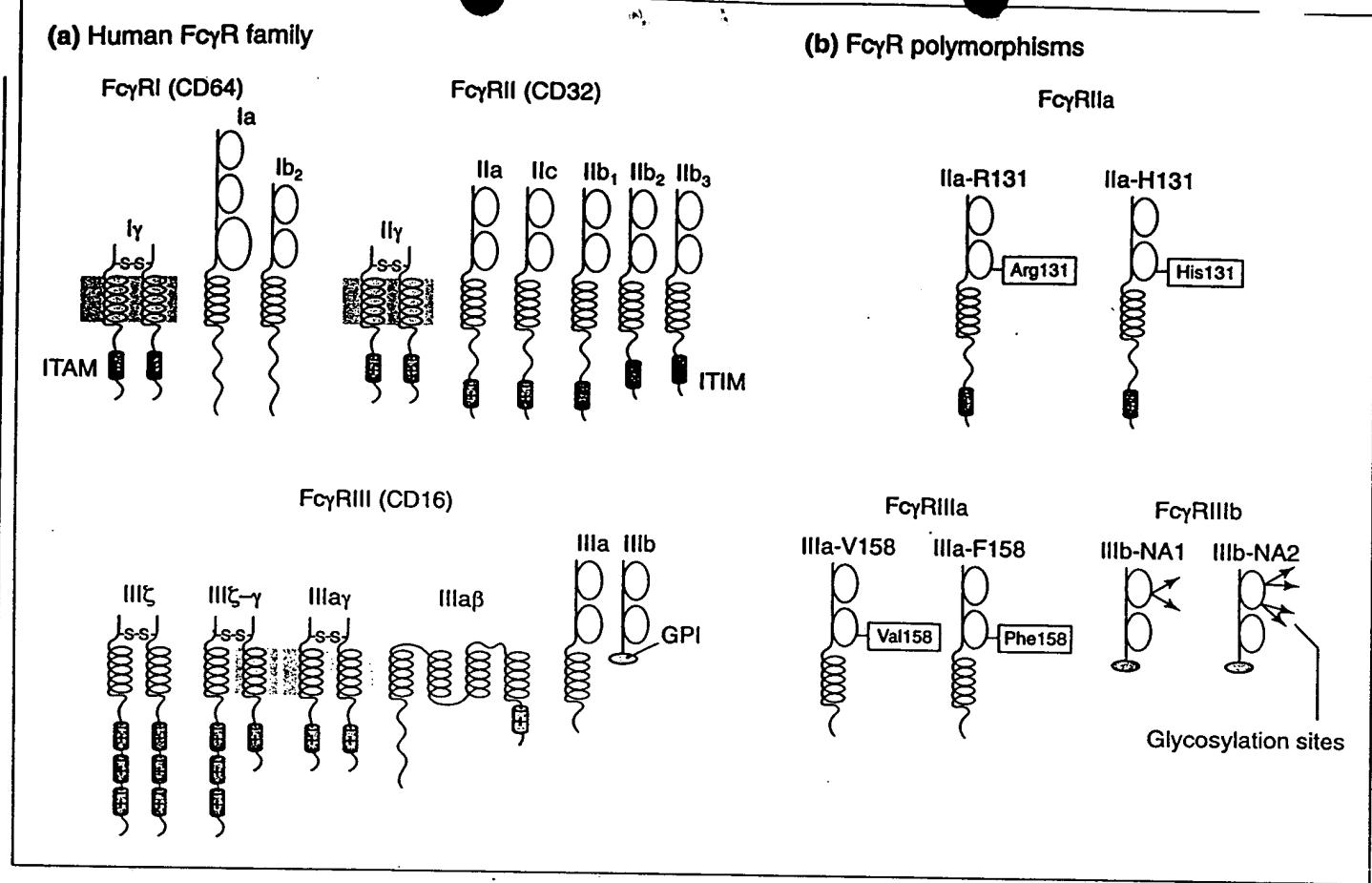


Fig. 1. (a) The human Fc γ R family. The ligand-binding α -chains of all receptors contain extracellular regions comprising disulfide-bonded immunoglobulin (Ig)-like domains. Fc γ RI has three Ig-like domains, the others have two Ig-like domains. Fc γ RI α , Fc γ RII α and Fc γ RIII α exist as oligomeric complexes with associated FcR γ , β - or ζ -chains, which contain immunoreceptor tyrosine-based activation motifs (ITAMs) indicated by the plus sign. Fc γ RII β molecules contain an inhibitory motif (ITIM), indicated by the minus sign. All three classes contain soluble molecules not shown in this diagram. **(b) Fc γ R polymorphisms.** Two allotypic forms of human Fc γ RII α have been distinguished by the presence of either arginine (Fc γ RIIa-R131) or histidine (Fc γ RIIa-H131) at position 131. The two allotypes of Fc γ RIII α contain either valine or phenylalanine at position 158. The Fc γ RIIIb-NA1 and -NA2 allotypes differ by five nucleotides, which results in differential glycosylation (indicated by the arrow heads). Abbreviation: GPI, glycosylphosphatidylinositol.

within the ITAM of Fc γ Rs by src-family protein tyrosine kinases (PTKs). This is followed by association and activation of syk-family PTKs with the phosphorylated ITAM. The subsequent events are not clearly delineated but appear to involve several distinct signaling components leading to different biological responses¹.

Fc γ R-expressing cells activated via these signaling cascades are able to lyse or phagocytose IgG-opsonized pathogens or tumor cells, as well as clear immune complexes (ICs), promote antigen presentation and induce inflammation. The Fc γ R-dependent phagocytic and cytolytic [antibody-dependent cellular cytotoxicity (ADCC)] activities are well documented. These activities play a key role in immune defense against infectious diseases, and probably in immune surveillance against malignant cell growth. *In vitro*, targeting antigens to Fc γ Rs on macrophages and dendritic cells significantly facilitates antigen presentation¹³. Similar data have been obtained in a human Fc γ RI (huFc γ RI) transgenic mouse model in which the transgenic animals induced a much greater humoral response to Fc γ RI-directed antigens than the nontransgenic littermates, supporting a role for huFc γ RI in antigen presentation¹⁴. In the same model, a role for Fc γ RI in inflammatory processes was suggested by

a dramatic increase in phagocyte expression of huFc γ RI in mice with inflammatory lesions. In contrast to these immune defense functions, activation of Fc γ R by autoantibodies or defects in Fc γ R functions are implicated in several autoimmune disorders. Recently, the significance of Fc γ Rs in type II and III hypersensitivity reactions has been firmly established by defective anaphylactic and inflammatory responses observed in mice deficient in the FcR γ -chain or in Fc γ RIII (Refs 15–17). Thus, the pleiotropic biological responses induced via Fc γ Rs play a significant role in various diseases. Therefore, therapies that harness these cytotoxic and immune activation functions of Fc γ Rs, or downmodulate Fc γ R activity, are currently being developed.



Fc γ Rs and cancer

Destruction of tumor cells by Fc γ R-expressing effectors via ADCC and phagocytosis has been well established. Tumor-specific antibodies and bispecific molecules (BSMs) directed to Fc γ R-expressing effector cells represent two approaches developed to harness Fc γ R activities for cancer therapy. Fc γ R-directed tumor vaccines are also

being developed, since antigens directed to Fc γ Rs on APCs induce strong antigen-specific immune activation^{13,14}.

Role of Fc γ Rs in antibody therapy

Tumor-specific mAbs can mediate destruction of tumor cells by phagocytosis or ADCC induced via binding to Fc γ Rs. *In vitro* studies have shown mAb-mediated ADCC of a broad spectrum of tumor cell lines, derived both from solid tumors and hematolymphatic tumors, by Fc γ R-expressing monocytes, macrophages, eosinophils, neutrophils and natural killer (NK) cells^{18,19}. Involvement of Fc γ Rs in mAb-mediated cytotoxicity is supported by the following observations: (1) crosslinking Fc γ Rs triggers cytotoxicity of specific immune effector cells; (2) serum IgG, which can compete with tumor-specific mAbs of certain isotypes for binding to Fc γ Rs, inhibits mAb-mediated ADCC of tumor cells; (3) mAb-mediated tumoricidal activity of specific effector cells can be induced or enhanced by cytokines that upregulate Fc γ R expression²⁰; (4) antitumor activity of different isotypes correlates with the ability of an isotype to engage Fc γ Rs on cytotoxic effector cells; and (5) with a few exceptions, F(ab')₂ fragments of tumor-specific mAbs are ineffective in tumor cell killing.

In vivo studies in mouse models and clinical trials further support the *in vitro* observations. First, tumor-specific mAbs have been found to be equally effective in eradicating tumors in mice deficient in complement component C5 as in control mice, which thereby excludes complement-mediated tumor cell lysis in this model²¹. Furthermore, the capacity of antibodies to elicit tumor regression has been shown in certain cases to depend on Fc γ R-expressing effector cells²². Indeed, the rate of tumor rejection correlates with the density of Fc γ R-expressing effector cell infiltration at the tumor site following antibody therapy, and depletion of FcR⁺ effector cells was found to abrogate mAb efficacy^{22,23}. In addition, comparison of antibodies with the same tumor specificity but different isotypes shows a correlation between the capacity of an antibody to induce ADCC *in vitro* and its efficacy *in vivo* in mouse models²⁴. In a clinical trial comparing isotype switch variants of CAMPATH antibody (specific for CDw52), the strongest depletion of malignant cells was observed with the antibody isotype that most effectively induced ADCC *in vitro*¹⁸. Adjuvant therapy with a murine IgG2a (a potent mediator of ADCC) tumor-specific mAb (anti-17-1A) reduced the overall death rate by >30% in colorectal cancer patients²⁵. Human

Table 1. General characteristics of human leukocyte IgG receptors

	Fc γ RI (CD64)	Fc γ RII (CD16)	Fc γ RIII (CD36)
Molecular mass	72 kDa	40 kDa	50-52 kDa
Affinity for IgG (K _a)	High (10^{-10} M ⁻¹)	Low ($<10^{-10}$ M ⁻¹)	IIa: medium ($\sim 10^{-10}$ M ⁻¹) IIb: low ($<10^{-10}$ M ⁻¹)
Receptor subunits	γ chain	Ia: γ chain Ib: γ chain, ζ chain	IIIa: γ chain, ζ chain IIIb: γ chain, ζ chain
Distribution	Constitutive	CD34: myeloid progenitors, monocytes, macrophages, B cells, platelets, dendritic cells, neutrophils, Langerhans cells, eosinophils, endothelial cells, T cells (subpopulation), T cells (subpopulation), dendritic cells	IIa: macrophages, NK cells, monocytes (subpopulation), IIb: neutrophils (subpopulation), T cells (subpopulation)
Induced	Neutrophils (IFN- γ , G-CSF)		IIIa: monocytes (TGF- β)
Modulation	\uparrow G-CSF, IFN- γ , IL-10, IL-12, TNF- α	\uparrow IL-4	\uparrow IL-4, \uparrow TGF- β
Affinity	Murine IgG: 2a = 3 >> 12b > 12b >> 2a Human IgG: 3 > 1 > 4 >> 2 > 3 > 1	2a > 2b >> 1	2a > 2b >> 1

Abbreviations: G-CSF, granulocyte colony-stimulating factor; IFN- γ , interferon- γ ; IL-10, interleukin-10; NK, natural killer; TGF- β , transforming growth factor- β .

The three subclasses of Fc γ Rs have discrete affinities for human IgG: Fc γ RI, IgG1 > IgG3 > IgG2a; Fc γ RII, IgG1 > IgG2a > IgG3; Fc γ RIII, IgG1 > IgG2a > IgG3.

IgG1 has the broadest spectrum reactivity with human Fc γ Rs (Table 1) and is, therefore, regarded as optimal for effector cell recruitment. In accordance, a humanized IgG1 anti-HER-2/neu antibody and a chimeric IgG1 anti-CD20 antibody have shown very encouraging clinical responses, emphasizing the importance of the human Fc region^{19,26}. These studies indicate that the cytotoxic activity of Fc γ R-expressing effector cells may play an important role in the antitumor effects of tumor-reactive mAbs.



BSMs

In order to improve effector cell recruitment and Fc γ R activation at tumor sites, BSMs that have one arm specific for tumor cells and the other specific for Fc γ Rs on immune effector cells have been developed¹². These BSMs offer several advantages over conventional mAbs as detailed in Box 1.

Fc γ RI and Fc γ RIII are of particular interest for BSM targeting. Fc γ RI is expressed solely on cytotoxic effector cells and is always capable of triggering cytotoxic activity. Since it is typically saturated

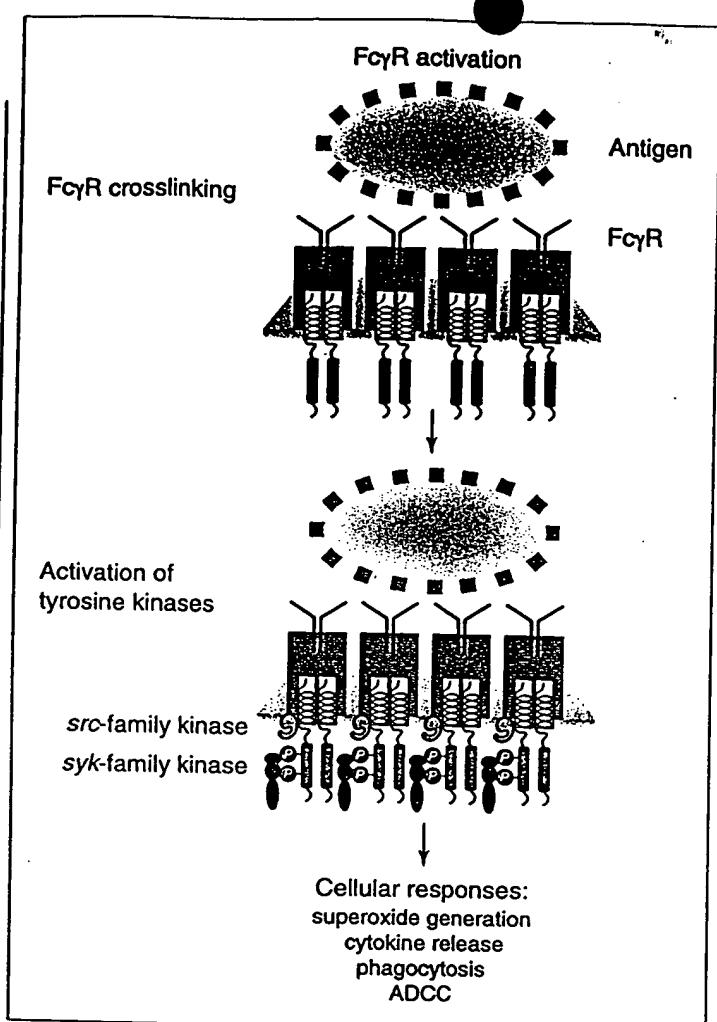


Fig. 2. Schematic representation of effector cell activation through Fc γ Rs. The crucial first step is crosslinking of Fc γ Rs, and this is promoted by simultaneous binding of several antigen-IgG immune complexes to the extracellular region of Fc γ R α -chains. This results in the association and activation of src-family PTKs, inducing tyrosine phosphorylation (P) of the Fc γ R ITAM. This phosphorylation results in binding and activation of syk-family PTKs, followed by a cascade of events culminating in physiological responses. The exact point(s) of interaction between the PTKs and Fc γ Rs has not been well established. Abbreviations: ADCC, antibody-dependent cellular cytotoxicity; ITAM, immunoreceptor tyrosine-based activation motif; PTK, protein tyrosine kinase.

with serum IgG under normal physiological conditions, it can be most effectively triggered to induce ADCC, phagocytosis and other effector functions by BSMs that can bind outside the Fc ligand-binding domain. Several such BSMs have been developed, with one arm specific for Fc γ RI and the other arm specific for a tumor marker such as CD15, HER-2/neu, epidermal growth factor receptor (EGFR)⁵⁷, disialoganglioside (GD2), HLA-DR (Ref. 27), CD19, CD37, or gastrin-releasing peptide (GRP) receptor (reviewed in Ref. 12). These BSMs readily direct monocytes, macrophages and IFN- γ - or G-CSF-activated polymorphonuclear leukocytes (PMNs) to tumor cells, and have proven to be highly effective in mediating ADCC and phagocytosis of tumor targets. Fc γ RIII is an important Fc receptor triggering ADCC by NK cells and it is also functional on macrophages (Table 1). BSMs specific for Fc γ RIII and tumor antigens

Box 1. Advantages of bispecific molecules (BSMs)

- BSMs are relatively small (50–100⁴ Da) and may penetrate tumors better than monoclonal antibodies (mAbs) (150–1000⁴ Da).
- BSMs can efficiently mediate effector cell (macrophage, neutrophil or natural killer (NK) cell)-dependent lysis of monolayers or spheroids of tumor cells.
- BSMs can be constructed with or without an Fc region to retain or eliminate complement-activating capacity.
- BSMs can be designed selectively to trigger Fc γ Rs expressed solely on cytotoxic effector cells (e.g. Fc γ RI) to avoid triggering of noncytotoxic cells (e.g. platelets or B cells) that express Fc γ RII.
- BSMs can be configured to bind to an epitope on Fc γ Rs outside the Fc-binding domain to circumvent competition by serum IgG for Fc γ Rs binding and to maintain antitumor activity in the physiological environment.
- BSMs can be devised to target specifically Fc γ Rs on phagocytic cells, which function both as cytotoxic effectors and antigen-presenting cells, to promote tumor destruction and tumor-specific immunity.
- BSMs do not require binding to tumor cells in order to engage Fc receptors (therefore effector cells may be 'armed' with BSMs, conferring on them specific antitumor activity).

such as HER-2/neu, CD30, CA19-9, CD33 and high-molecular-weight melanoma antigen have shown effective killing of tumor cells *in vitro*. Efficacy of BSMs *in vivo* has been demonstrated in severe combined immunodeficiency (SCID) mice xenografted with human tumors. BSMs in combination with human effector cells induced long-term survival²⁸ and complete regression of established tumors²⁹.

Three BSMs directed to Fc γ RI and two directed to Fc γ RIII are currently being tested in clinical trials, either alone or in combination with cytokines that may enhance their efficacy (Table 2). Several phase I/II studies are under way with two BSMs (MDX-210 and MDX-447) comprising chemically linked F(ab') fragments of Fc γ RI- and HER-2/neu- or EGFR-specific antibodies, in late-stage cancer patients with various HER-2/neu⁺ or EGFR⁺ malignancies. Single and multiple doses (up to 25 mg m⁻²) of BSMs are tolerated well, and induce immunological and biological responses^{30,31}. After infusion, BSMs bind rapidly to Fc γ RI-expressing effector cells, and trigger both a transient disappearance of these cells from the circulation and a significant rise in serum levels of the inflammatory cytokines, tumor necrosis factor α (TNF- α), interleukin 6 (IL-6) and G-CSF. BSM-coated effector cells infiltrate tumors, resulting in tumor inflammation, tumor regression, a decrease in levels of tumor antigen in circulation, and improvement in symptomatic relief^{30,31}. In some instances, up to 20-fold increases in serum levels of human antitumor antibodies (IgM and IgG) were observed, indicating that Fc γ RI-directed BSMs promote antigen presentation and induction of antitumor immune responses *in vivo* (P. Guyre *et al.*, unpublished). In another trial, a BSM comprising a mAb to CD15 linked with an Fc γ RI mAb was tested in

Table 2. Clinical applications of Ray Regenerated Therapies

Disease and therapy	Status	Indication	Ref
Cancer			
Antitumor antibodies	Phase I, II, III, approved	Various malignancies including: breast cancer colorectal cancer lymphoma leukemia	23 25 19 56
Anti-CD15 X anti-Fc _Y RI BSM	Phase I	Leukemia	32
Anti-HER-2/neu X anti-Fc _Y RI BSM (MDX-210 with/without cytokines)	Phase I/II	HER-2/neu-overexpressing malignancies	30
Anti-EGFR X anti-Fc _Y RI BSM (MDX-447 with/without C-CSF)	Phase I	Fc _Y RI overexpressing malignancies	31
Anti-HER-2/neu X anti-Fc _Y RI/II BSM (2B1 with/without cytokines)	Phase I/II	HER-2/neu-overexpressing malignancies	33
Anti-CD30 X anti-Fc _Y RI/II BSM	Phase I/II	Hodgkin lymphoma	
Autoimmune disorders			
Anti-Fc _Y RIII antibody (3G8)	Phase I	TP	53
Anti-Fc _Y RI antibody (197)	Phase I	TP	54
IgG Fc fragments	Phase I/II	TP	50
Anti-Rh antibodies (WinRho)	Approved	TP	49
Infectious diseases			
Anti-pp41 X anti-Fc _Y RI BSM (MDX-210)	Phase I	HIV seropositive	41

four patients, one of which showed a transient decrease in leukemic cells³². A BSM specific for Fc γ RIII and CD30 has been tested in patients with Hodgkin's disease and shown to be tolerated well and able to elicit a clinical response in some patients (F. Hartmann *et al.*, unpublished). A BSM (2B1) specific for Fc γ RIII and HER-2/neu, and comprising a hetero-antibody containing the murine IgG1 Fc region, has been tested in HER-2/neu⁺ patients³³. Multiple doses of 2B1 induced elevated serum levels of TNF- α , IL-6, IL-8, granulocyte-macrophage colony-stimulating factor (GM-CSF) and IFN- γ , as well as minor clinical responses. Notably, several patients exhibited significant increases in human anti-HER-2/neu antibodies of both IgM and IgG isotypes, indicating that 2B1 treatment induced specific antitumor immune cascades (J. Gralow *et al.*, unpublished). These encouraging results from clinical trials point to the potential promise of Fc γ R-directed BSMs in cancer therapy.

FcγRs and infectious diseases

Fc_YRs are of crucial importance in directing the uptake and destruction of viruses, bacteria and a variety of infectious parasites.

and are involved in antibody-dependent killing of infected cells expressing viral antigens^{1,2}. Fc γ RIIIa-expressing NK cells isolated from human immunodeficiency virus (HIV)-seropositive individuals have been shown to be coated with anti-HIV antibodies and readily mediate lysis of HIV-infected or gp120-coated target cells *in vitro*. Furthermore, this ADCC activity correlates inversely with disease progression³⁴. The importance of appropriate detection of IgG-opsonized microorganisms by Fc γ Rs on phagocytes is further emphasized by susceptibility of individuals expressing the Fc γ RIIa-R131 allotype to infections by encapsulated bacteria. The Fc γ IIa-H131 allotype (as opposed to Fc γ RIIa-R131) is identified as the only Fc γ R capable of binding human IgG2 (Ref. 6), an important isotype in immune defense against encapsulated bacteria. Neutrophils from individuals expressing the Fc γ RIIa-R131 allotype inefficiently phagocytose human IgG2-coated bacteria³⁵, rendering these individuals more susceptible to infection. Allotypic forms of Fc γ RIIIb (NA1 versus NA2) have also demonstrated differences in the binding and phagocytosis of IgG1- and IgG3-coated particles³⁶, which may have clinical relevance with regard to susceptibility to infectious disease.

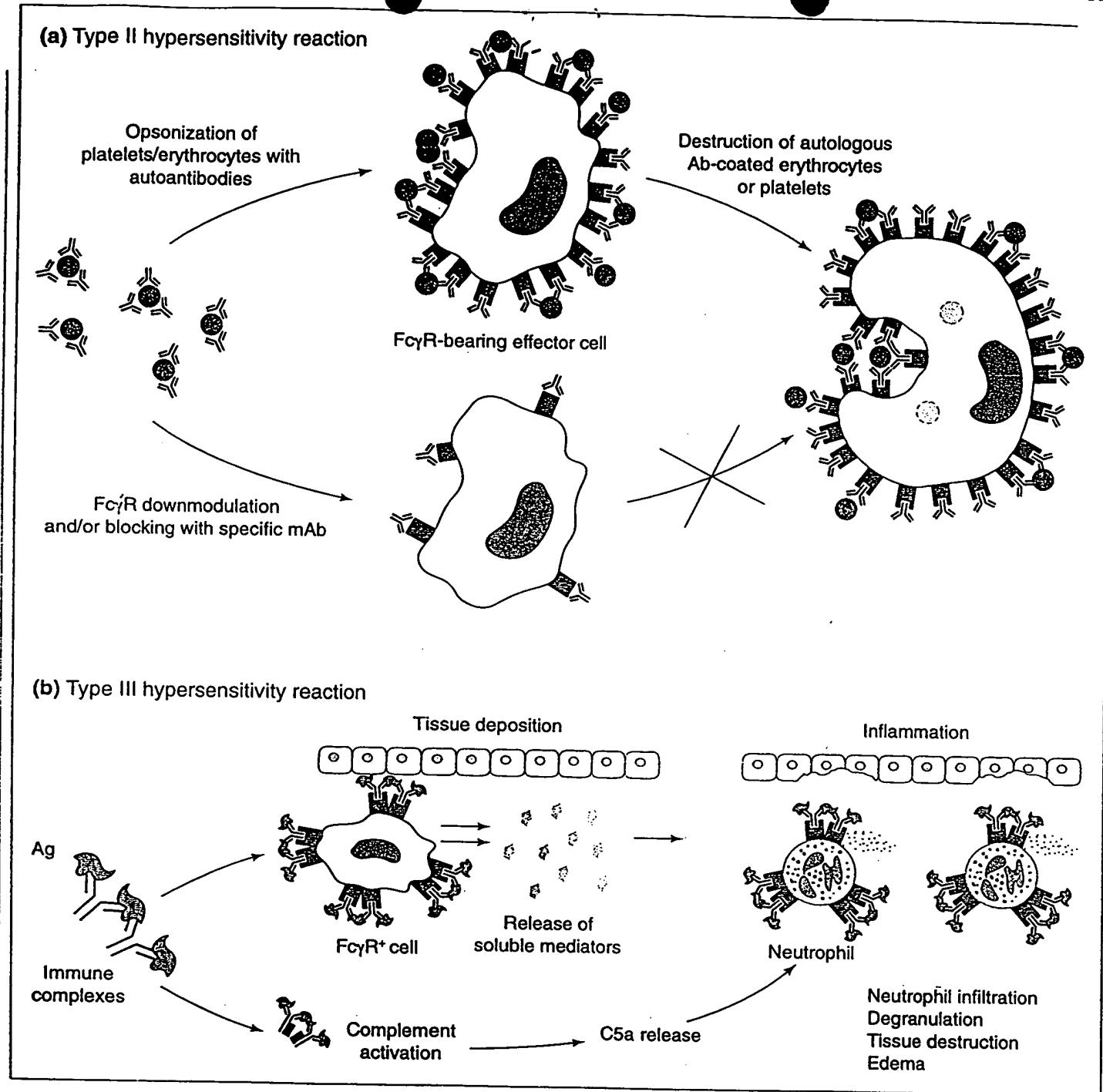


Fig. 3. Role of Fc γ Rs in type II and III hypersensitivity. (a) Type II hypersensitivity is induced when Abs bind to Ag(s) on autologous cells such as platelets or erythrocytes. Opsonized cells are then destroyed upon encountering Fc γ R-bearing effector cells, resulting in autoimmune disorders such as ITP (when the target cell is a platelet) or AIHA (when the target cell is an erythrocyte). ITP has been treated with molecules that downmodulate or block Fc γ Rs to prevent platelet destruction. (b) Type III hypersensitivity is induced when circulating immune complexes that have not been properly cleared by Fc γ R-bearing cells of the mononuclear phagocyte system deposit at tissue sites. Ag-Ab complexes encounter Fc γ R-bearing cells and trigger the release of soluble mediators. These mediators initiate a series of events, including tissue edema and infiltration of neutrophils. The neutrophils mediate tissue destruction upon engagement of Fc γ Rs by immune complexes or anti-Fc γ R autoantibodies. Abbreviations: Ab, antibody; Ag, antigen; AIHA, autoimmune hemolytic anemia; ITP, idiopathic thrombocytopenic purpura; mAb, monoclonal antibody.

Fc γ Rs are also important for immune defense to intracellular pathogens such as *Toxoplasma gondii*. Antibodies specific for *T. gondii* focus the organism to the effector cell by binding to Fc γ Rs, thereby leading to destruction of the pathogen. BSMs that focus *T. gondii* to the surface of myeloid effectors (monocytes and neutrophils) me-

diate destruction of the pathogen regardless of the surface antigen on the effector cell to which they are directed³⁷. In contrast to phagocytes, NK cells destroy *T. gondii* only upon targeting to Fc γ RIII, and not other cell-surface markers, identifying Fc γ RIII on NK cells as the primary trigger molecule for *T. gondii* destruction³⁷. BSMs are

now being developed for a variety of microorganisms, including fungi and antibiotic-resistant bacterial strains, to target these pathogens specifically to Fc γ R-expressing cytotoxic effector cells.

Antibody-dependent enhancement

Another interaction between pathogens and Fc γ Rs is constituted by the phenomenon of antibody-dependent enhancement (ADE) of infection by certain viruses. Sufficient level of opsonization by virus-specific antibodies leads to Fc γ R crosslinking, internalization and degradation of opsonized virus particles. However, in some instances, suboptimal levels of virus-specific antibodies have been found to promote infection of Fc γ R $^+$ cells by flavi viruses, alpha viruses, rhabdoviruses and retroviruses¹. Also, *in vitro*, BSMs that target dengue virus to Fc γ RI or Fc γ RIIa, or to non-Fc γ R surface antigens, can mediate ADE by focusing virus to the cell surface³⁸. On the other hand, BSMs that direct HIV to Fc γ RI, Fc γ RII or Fc γ RIII on monocyte-derived macrophages markedly reduced virus production with no evidence of ADE (Ref. 39). However, a BSM targeting HIV to a non-Fc γ R surface antigen (CD33) was ineffective and even led to ADE of macrophages. Thus, the evidence for Fc γ R-mediated ADE is not conclusive.

Another gp41- and Fc γ RI-specific BSM (MDX-240) has been shown to decrease virus production significantly, as well as diminish formation of HIV proviral DNA in macrophages. In a phase I/II clinical trial, up to six 10 mg m⁻² doses of MDX-240 were tolerated well, and induced a transient increase in CD4 $^+$ T cells in some patients, although none of the treated patients showed evidence of ADE (J.L. Pasquali *et al.*, unpublished). These studies establish the pleiotropic role of Fc γ Rs in infectious disease processes and identify Fc γ R-directed BSMs as a potential therapeutic approach.

Fc γ Rs and autoimmune disorders

Fc γ Rs have been shown to play a significant role in autoimmune disorders, either by mediating destruction of normal cells opsonized with autoantibodies or, conversely, by failing to clear ICs adequately. For example, inability of Fc γ R-bearing cells to remove soluble ICs has been proposed to enhance autoimmune conditions such as systemic lupus erythematosus (SLE), where IC deposition in tissues triggers inflammation and tissue destruction, a characteristic type III hypersensitivity reaction (Fig. 3). On the other hand, engagement of functional Fc γ Rs on effector cells of the mononuclear phagocyte system triggers the destruction of autologous erythrocytes or platelets in the presence of autoantibodies directed to these cells. This may result in autoimmune hemolytic anemia (AIHA) or idiopathic thrombocytopenia purpura (ITP), both of which are autoimmune disorders characteristic of the type II hypersensitivity class of inflammation (Fig. 3). These observations suggest that Fc γ R-directed therapies could be developed to treat autoimmune disorders mediated by either type II or III hypersensitivity reactions.

SLE patients characteristically make autoantibodies specific for double-stranded (ds)DNA and other nuclear factors. The ICs formed by these antibodies deposit in the kidney and cause renal

dysfunction because of insufficient clearance by phagocytes. Fc γ Rs in these patients may be downregulated or uncoupled from the signal transduction cascade^{40,41}. Fc γ Rs may also play a role in the inflammation and tissue destruction observed in SLE patients¹⁵. Tissue-deposited ICs crosslink Fc γ Rs on infiltrating immune effector cells (neutrophils and macrophages), causing the release of inflammatory cytokines, proteolytic enzymes and other toxic molecules (Fig. 3)⁴². The presence of anti-Fc γ R autoantibodies in the sera of patients with autoimmune diseases has been proposed to explain the role of impaired Fc γ R function⁴³. Anti-Fc γ RI, -II or -III autoantibodies have been purified from the sera of patients with SLE, Sjögren's syndrome, rheumatoid arthritis, Raynaud's disease and progressive systemic sclerosis. These may not only affect IC clearance, but can also crosslink Fc γ Rs and trigger release of proinflammatory molecules⁴³. Soluble Fc γ Rs have been demonstrated to inhibit the Arthus reaction, implicating a role for Fc γ Rs in type III hypersensitivity reaction⁴⁴. Recent studies, demonstrating drastically reduced Arthus reaction in Fc γ R γ -chain-deficient and Fc γ RIII-deficient mouse models, have established that Fc γ Rs play an important role in type III hypersensitivity reactions^{15,17}.

Fc γ R polymorphisms also seem relevant in autoimmune disease. A marked skewing of Fc γ RIIa allotypes that interact differently with human IgG2 and IgG3 isotypes has been observed in Caucasian SLE patients with lupus nephritis⁴⁵, and in African-American SLE patients, both with and without lupus nephritis⁴⁶. Several clinical parameters were found more frequently in Fc γ RIIa-R/R131 than in Fc γ RIIa-H/H131 homozygous patients, including high levels of anti-dsDNA and anti-Sm autoantibodies, as well as increased incidence of AIHA (R. Repp and J.G.J. van de Winkel, unpublished). Furthermore, this polymorphism seems important for the activation capacity of anti-neutrophil cytoplasmic antibodies in Wegener's granulomatosis⁴⁷. Collectively, these data suggest that the Fc γ RIIa polymorphism constitutes a risk factor that has pathophysiological importance for IC disorders.

Recent work demonstrating the inability of anti-platelet antibodies to induce thrombocytopenia in Fc γ R γ -chain-deficient mice has solidified and extended the role of Fc γ Rs in type II hypersensitivity disorders (AIHA and ITP)¹⁶. Corticosteroids, often the first line of treatment for ITP, have suppressive effects on Fc γ R functions¹, impeding the destruction of antibody-coated platelets by Fc γ R $^+$ cells of the mononuclear phagocyte system. Other treatments for ITP include intravenous immunoglobulin (IVIg) and anti-Rhesus factor antibody (WinRho). One proposed mechanism of action for IVIg and WinRho suggests that their binding to Fc γ Rs on mononuclear phagocytes leads to inhibition of the Fc-mediated destruction of antibody-coated platelets^{48,49}. Decreased Fc γ R function in monocytes derived from IVIg-treated patients, and successful treatment of ITP by infusion of the Fc portion of IgG, support the idea that Fc γ R blockade is a relevant mechanism of action⁵⁰. A role for Fc γ Rs in AIHA is further supported by prolonged IC clearance in mice treated with an anti-murine Fc γ RII/III mAb (2.4G2)⁵¹, and delayed clearance of antibody-opsonized erythrocytes in chimpanzees infused with an anti-Fc γ RIII mAb (3G8)⁵². Furthermore, an ITP patient treated with mAb 3G8 showed a dramatic, albeit transient, rise

in platelet count⁵³. Treatment of an IVIg-refractory ITP patient with an anti-Fc γ RI mAb (197), which triggers downmodulation of Fc γ RI, showed significant clinical improvement⁵⁴. Although the platelet count remained stable during the five-day mAb treatment, the patient showed a marked rise in platelets in response to subsequent IVIg treatments. A humanized anti-Fc γ RI mAb (H22)⁵⁵ can efficiently downmodulate Fc γ RI on monocytes and macrophages, resulting in inhibition of phagocytosis and ADCC of antibody-coated cells (P.K. Wallace, unpublished). Clinical trials of this reagent for evaluation of *in vivo* efficacy in ITP and AIHA patients are expected to commence soon.

Concluding remarks

Fc γ Rs are clinically relevant trigger molecules on both myeloid and lymphoid effector cells, and their activation and deactivation can be exploited to combat various diseases. Recently, the signal transduction pathways of Fc γ Rs have been partially delineated and Fc γ R-specific mAbs and BSMs are being tested in preclinical and clinical studies with encouraging results. Novel techniques to affect directly the intracellular signaling cascade of Fc γ Rs, and multispecific molecules that can simultaneously activate or deactivate several classes of Fc γ Rs, may offer additional therapeutic options.

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POLYCLONAL ACTIVATION OF THE MURINE IMMUNE SYSTEM BY AN ANTIBODY TO IgD

XI. Contribution of Membrane IgD Cross-Linking to the Generation of an in Vivo Polyclonal Antibody Response¹

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The injection of mice with a foreign, polyclonal antibody to IgD sequentially induces: 1) activation of B cells by cross-linking of their cell membrane (m) IgD; 2) B cell processing and presentation of the bound anti-IgD antibody to T cells; 3) activation of these T cells; and 4) T-dependent stimulation of B cell differentiation into IgG1 secreting cells. To determine whether the cross-linking of B cell membrane IgD and/or the resulting B cell activation that follows contribute to the generation of the polyclonal IgG1 response, we examined the abilities of three sets of anti- δ mAb or mAb fragments to stimulate polyclonal IgG1 production. Within each set mAb were matched for species and Ig isotypic determinants, but differed in avidity for IgD or in ability to cross-link IgD. In addition, experiments were performed to determine whether the anti- δ mAb had to be foreign to the immunized mouse to stimulate an IgG1 response. Results of these experiments indicate that: 1) recognition of the injected anti- δ antibody as foreign is required for the induction of a polyclonal IgG1 response; 2) the cross-linking of B cell membrane Ig, which directly activates B cells, can contribute considerably to the generation of in vivo IgG1 production; and 3) that even relatively weak cross-linking of membrane Ig by ligands that bind it with low avidity can make this contribution.

The cross-linking of B cell m³Ig has been shown both

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*Abbreviations used in this paper: m, cell membrane; CaM₄, affinity purified goat antibody specific for mouse IgD.

in vitro and in vivo to activate B lymphocytes (1-6) and to make them more responsive to cytokines that can stimulate antibody production (7-10). Although much progress has been made in the past few years toward understanding the cellular physiology of mIg cross-linking-mediated B cell activation (11-20), the role of this process in the generation of a humoral immune response is largely undefined. mIg cross-linking most likely contributes to the generation of in vivo antibody responses to "type 2" T cell-independent Ag. These Ag have multiple representations of the same epitope that enhance their ability to crosslink the mIg of Ag-specific B cells (21, 22). Stimuli that derive from mIg cross-linking by these Ag are the sole mechanism by which they appear to be able to stimulate B cells in an Ag-specific manner, since they lack the polyclonal activating properties of bacterial LPS, and are unable to induce T cell help (23, 24).

Little is known, however, about the importance of mIg cross-linking for the in vivo generation of antibody responses to T cell-dependent Ag. Although some in vitro studies of T cell-dependent B cell activation suggest that mIg cross-linking may act synergistically with T cell-dependent stimuli to induce B cell activation (7, 8, 25, 26), other studies suggest that any contribution made by mIg cross-linking to T cell-induced B cell activation is minor (27). In addition, while it is generally agreed that B cell mIg plays a critical role in B cell processing and presentation of Ag to T cells (27-35), the role of mIg cross-linking in the processing and/or presentation of mIg-bound Ag remains controversial. Although activated B cells have been reported to be better than small resting B cells at presenting Ag that they have bound through surface receptors other than mIg to T cells (36, 37), univalent Fab fragments of anti-Ig antibodies, which neither cross-link mIg nor activate B cells, have been found to be as efficacious at enhancing Ag presentation by resting B cells as the B cell-activating, divalent F(ab')₂ fragments of the same antibodies (27, 34, 38-40). The ability of soluble protein Ag that have only a single representation of any epitope to stimulate an in vivo antibody response suggests that mIg cross-linking may not be essential. Such Ag, however, are generally only strongly immunogenic if polymerized or administered with adjuvants that might, such as alum, polymerize them directly through surface adsorption, or such as CFA, enhance their uptake by an APC (41). Furthermore,

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even if mlg cross-linking is not essential to the induction of a T cell-dependent antibody response, it might still enhance such a response.

To investigate the role of mlg cross-linking in *in vivo* T cell-dependent antibody production we have used a system in which the injection of mice with anti-IgD antibodies stimulates a large, polyclonal IgG1 response 7 to 9 days after injection (6, 42). Studies in this system revealed that injection of $\text{GaM}\delta$ first cross-links the mlgD on B lymphocytes, which stimulates T cell-independent increases in B cell size, expression of MHC class II and other activation-related Ag, and DNA synthesis (6, 43). $\text{GaM}\delta$ is internalized by these B cells and is presented to goat IgG-specific T cells, with the result that polyclonal T cell activation is observed starting 2 to 3 days after $\text{GaM}\delta$ injection (6, 44, 45). This T cell activation is essential for the production of both the polyclonal and the goat IgG-specific IgG1 responses that start 3 days later (42). More recently we have investigated the abilities of different anti- δ mAb, and fragments of these antibodies to cross-link mlgD and to activate B cells. These studies have demonstrated that those antibodies that have the greatest ability to cross-link IgD also have the greatest ability to activate B cells *in vitro* and *in vivo*, whereas those antibodies that have more limited ability to cross-link IgD have little ability to stimulate B cell DNA synthesis, but can still induce B cells to increase their expression of class II MHC (46). We now report studies in which the abilities of these, and additional anti- δ mAb to induce *in vivo* polyclonal IgG1 responses are investigated. Our experiments indicate that although mlg cross-linking can contribute considerably to the generation of an *in vivo* IgG1 response, the extent of mlg cross-linking required for this contribution is small, and can be achieved with even low affinity anti- δ antibodies that are unable to induce B cell DNA synthesis.

MATERIALS AND METHODS

Mice. BALB/c female mice were obtained from the Small Animal Division of the National Cancer Institute, National Institutes of Health, Bethesda, MD, and were used at 8 to 12 wk of age. CB20 mice, which are congenic to BALB/c mice but express Ig of the *b* allotype, were a gift of Dr. Michael Potter (National Cancer Institute). (BALB/c \times CB20)F1 mice were bred in the animal facility of the Department of Laboratory Animal Medicine, USUHS.

Antibodies. The production, preparation, purification, and characterization of the following antibodies and antibody fragments have been described previously: $\text{H}\delta^a/1$ is a mouse IgG2b of the *b* allotype that binds with high affinity to a determinant on the Fc fragment of IgD of the *a* allotype (47). This antibody is an effective crosslinker of both soluble and cell membrane IgD, and induces B cells from *a* allotype mice to increase their expression of MHC class II, enlarge *in vivo*, and synthesize DNA (46, 47). A monovalent Fab/Fc fragment of this antibody, which is composed of a single Fab fragment linked to the Fc fragment by the hinge region (48), was produced by elastase digestion and purified by protein A affinity chromatography and Sephadex G-150 gel filtration (49). The purified Fab/Fc fragment preparation used contained less than 2% intact $\text{H}\delta^a/1$. This fragment, unlike Fab, has a long *in vivo* half-life. It is capable of activating B cells *in vivo* by Fc γ RII-dependent mechanism, which is blocked by a rat IgG2b mAb to this receptor, 24G2 (50). AMS-15, AF4-70, and FF1-4D5, are all IgG2a antibodies of the *b* allotype that bind antigenic determinants associated with the Fab or Fab'2 fragments of IgD of the *a* allotype (46, 51). AMS-15 and FF1-4D5 both bind these determinants with relatively high avidity, whereas AF4-70 binds with very low affinity (46). None of these antibodies cross-link IgD well or directly induce B cells to synthesize DNA; however, they have some cross-linking ability and are able to induce B cells to increase MHC class II expression (46). Affinity purified, subclass-specific, rabbit anti-mouse IgG antibody and mouse IgG-absorbed goat anti-rabbit Ig antibody (a gift of Dr. Ellen Vitetta,

Dallas, TX) were prepared as described (42). Three rat IgG2a mAb to mouse IgD were used. 11-26, was a gift of Dr. John Kearney (University of Alabama School of Medicine, Birmingham, AL), while HB δ -6 (also known as LO-MD-6) and HB δ -7 (also known as LO-MD-7) (52) were prepared in the laboratory of Dr. Herve Bazin (University of Louvain Faculty of Medicine, Brussels, Belgium). All were grown as ascites in LOU/C Ig-1b (OKA) rats, and were purified by sequential $(\text{NH}_4)_2\text{SO}_4$ precipitation and DE-52 (Whatman Inc., Clifton, NJ) ion exchange chromatography, as previously described (46). Characterization of these mAb is described in Results. B3B4, a rat IgG2a mAb specific for the mouse low affinity Fc γ RII (CD28) (53) was a gift of Dr. Daniel Conrad, Richmond, VA. MKD6, an anti- Ia^d mAb (54), was a gift of Dr. James Mond, Bethesda, MD.

Quantitation of serum IgG1. Serum IgG1 content was analyzed by radial immunodiffusion. Radial immunodiffusion plates were either purchased from Meloy or produced with rabbit anti-mouse IgG1 antibody as described (55). An IgG1 standard was purchased from Meloy Laboratories, Inc., Springfield, VA.

Immunofluorescence studies. Immunofluorescence microscopy with a Leitz Ortholux II microscope was used to study the abilities of FITC-labeled anti- δ mAb to cap B cell mlgD as previously described. Flow microfluorimetry with a Becton Dickinson FACS II or a Becton Dickinson FACScan (Mountain View, CA) was used to quantitate MHC class II (Ia) expression on spleen cells stained with a FITC-labeled anti- Ia^d mAb (MKD6), for measurements of anti- δ mAb avidity, and for determination of the fine specificity of rat anti- δ mAb, as described (6, 46).

RESULTS

Characterization of rat IgG2a anti-mouse IgD mAb. Three rat IgG2a anti-mouse δ mAb, 11-26, HB δ -6, and HB δ -7, were characterized for their fine specificities, avidities, cross-linking abilities, and abilities to induce increases in splenic B cell class II MHC expression *in vivo* and DNA synthesis *in vitro*. To determine whether these mAb bound to δ Fc or δ Fd determinants, spleen cells from BALB/c mice, which express Ig of the *a* allotype, and spleen cells from *b* allotype-congenic CB20 mice were preincubated on ice with unlabeled $\text{H}\delta^a/1$, which binds to a determinant on the Fc part of IgD of the *a* allotype but not to IgD of the *b* allotype (47), or with a control mAb (CBPC-101). Cells were then washed, stained on ice with FITC-labeled 11-26, HB δ -6, or HB δ -7, and analyzed for fluorescence intensity by flow microfluorimetry. Although all three FITC-labeled mAb brightly stained approximately 50% of both BALB/c and CB20 spleen cells, pretreatment with unlabeled $\text{H}\delta^a/1$ almost completely inhibited staining of BALB/c spleen cells by HB δ -6 and HB δ -7, but did not affect staining of these cells by 11-26, and, as expected, had no effect on staining of CB20 spleen cells by any of the antibodies tested (data not shown). Thus, HB δ -6 and HB δ -7 are specific for a determinant or determinants on δ Fc. To determine if 11-26 binds to a δ Fd-related determinant, we examined the ability of two mAb that are specific for determinants on δ Fd of the *a* allotype, AMS-15 and FF1-4D5 (46) to inhibit staining of BALB/c B cells by FITC-11-26. Surprisingly, neither antibody blocked staining (data not shown). However, when analyzed in an ELISA, 11-26 bound well to TEPC-1017, a monoclonal IgD that contains both δ Fd and δ Fc determinants, but failed to bind to a monoclonal IgD, KWD-1, that lacks δ Fd ($\text{C}\delta$) determinants (56), whereas $\text{H}\delta^a/1$ bound equally well to both TEPC-1017 and KWD-1 (data not shown). Thus, 11-26 is specific for a δ Fd-related determinant, but one different from those bound by FF1-4D5 and AMS-15.

To compare the avidities of 11-26, HB δ -6, and HB δ -7, we determined both the concentrations of these mAb required to half-maximally stain splenic B cells (MF_{50}), as well as the concentration of a purified IgD plasmacy-

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toma protein (TEPC-1017) required to inhibit staining by MFI₅₀ concentrations of these mAb by an additional 50% (i.e., to reduce staining to 25% of the maximum level) (IC₅₀). Intensity of staining was determined by flow microfluorimetry. A high avidity antibody will stain cells maximally at a low concentration and will be neutralized by a low concentration of IgD, and hence, will have a low MFI₅₀ and IC₅₀. All three mAb had similar MFI₅₀ and IC₅₀ values (Table I) which were comparable to those of previously characterized high avidity allo-anti- δ mAb. Thus, all three mAb bind IgD with high avidity.

Our previous studies of allo-anti- δ mAb revealed that all those specific for δ Fc could effectively cross-link both soluble and cell membrane-bound IgD, whereas those specific for δ Fd had relatively little cross-linking ability (46). The rat anti- δ mAb followed this trend. Both HB δ -6 and HB δ -7 precipitated TEPC-1017 as tested by gel double diffusion, whereas 11-26 did not. Similarly, B cell mIgD was capped within 15 min by HB δ -6 and HB δ -7, but not by 11-26 (data not shown).

The abilities of these mAb to activate B cells were studied in vivo and in vitro. Injection of BALB/c mice with 100 μ g of HB δ -6 or HB δ -7 stimulated greater than 100% increases in Ia^a expression one day later, as determined by flow microfluorimetric analysis. Injection of an equal quantity of 11-26, however, stimulated only a 13% increase in the fluorescence intensity of cells stained with anti-Ia^a antibody (Table II). This low degree of stimulation, while reproducible in several experiments, was considerably less than that seen even with those allo-anti- δ mAb that have a limited ability to cross-link mIgD

TABLE I
Avidities of rat IgG2a anti-mouse δ mAb^a

Antibody	MFI ₅₀	IC ₅₀
11-26	1.6	1.5
HB δ -6	3.0	1.0
HB δ -7	2.0	0.8

^a To determine that concentration of each anti-mouse δ mAb required to bind 50% of B cell IgD molecules (MFI₅₀), BALB/c spleen cells were exposed for 30 min at 0°C to 0.1 to 100 μ g/ml of 11-26, HB δ -6, or HB δ -7, washed, sandwich stained with FITC-labeled rabbit anti-mouse IgG2 antibody, re-washed, and analyzed for surface fluorescence by flow microfluorimetry. The MFI₅₀ is that concentration of anti- δ mAb that stained mIgD^a cells to half-maximal intensity. To determine that concentration of IgD required to inhibit staining of mIgD^a cells by the MFI₅₀ concentration of anti-Ia^a mAb by 50% (IC₅₀), the MFI₅₀ concentrations of the mAb shown were preincubated with 0.1 to 100 μ g of purified mouse IgD for 30 min, after which spleen cells were incubated on ice with the mixture for 30 min, washed, sandwich stained with FITC-Ram72 antibody, and analyzed for surface fluorescence by flow microfluorimetry. MFI₅₀ and IC₅₀ values are shown in μ g/ml. High avidity antibodies have low MFI₅₀ and high IC₅₀ values.

TABLE II
Effect of in vivo treatment of mice with rat IgG2a anti-mouse δ mAb on B cell class II MHC expression^a

Antibody Injected	Median Fluorescence Intensity of Ia ^a Cells
None	161
Rat IgG2a	164
11-26	185
HB δ -6	400
HB δ -7	375

^a BALB/c mice (three/group) were left untreated or were each injected i.v. with 100 μ g of a control rat IgG2a mAb or with 100 μ g of the anti- δ mAb shown. Mice were killed 24 h later, and their spleen cells were stained with FITC-labeled anti-Ia^a mAb (MKD6) and analyzed for surface fluorescence by flow microfluorimetry. The median fluorescence intensity (average brightness) of Ia^a cells was determined individually for spleen cells from each mouse; mean values of the three determinations made for each group are shown.

TABLE III
Effect of in vivo treatment of mice with allo-anti-IgD mAb on B cell class II MHC expression^a

Antibody Injected	No. of Expt.	Percent increase in Δ MFI
HB δ -1	6	170 \pm 7
AMS-15	3	76 \pm 9
FF1-4D5	3	77 \pm 3
AF4.70	5	89 \pm 12

^a In several independent experiments, BALB/c mice (three/group in each experiment) were left untreated or were injected i.v. with 100 μ g of the anti- δ mAb shown. Mice were killed 24 h later and their spleen cells were stained with FITC-labeled anti-Ia^a mAb (MKD6) and analyzed for surface fluorescence by flow microfluorimetry. Values for median fluorescence intensity (MFI) of spleen cells positively stained with anti-Ia^a mAb were compared between untreated and anti- δ mAb-treated mice in each experiment. Percent increases in Δ MFI of spleen cells from mice treated with each of the anti- δ mAb were calculated and results obtained in different experiments were averaged. Arithmetic means and SE are shown.

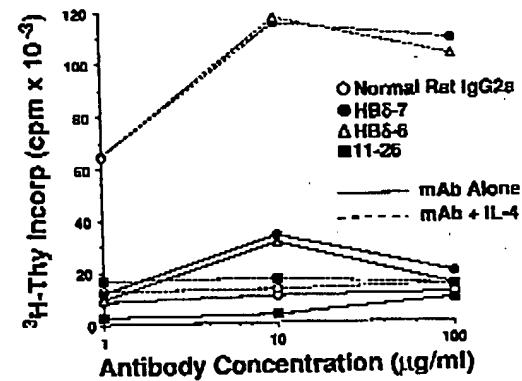


Figure 1. Induction of B cell DNA synthesis by rat IgG2a anti-mouse IgD mAb. T cell-depleted BALB/c spleen cells were cultured for 3 days in 96-well microtiter plates at 2×10^6 cells in 100 μ l of culture medium with 1 to 100 μ g of normal rat IgG2a, HB δ -6, HB δ -7, or 11-26, \pm 100 U/ml of rIL-4. Wells were pulsed with 1 μ Ci of 3 H-thymidine 18 h before cells were harvested onto glass fiber discs and discs were evaluated for radioactivity by scintillation spectroscopy. Cultures were performed in triplicate; mean values are shown.

(46) (Table III), and suggests that the mIgD-cross-linking ability of 11-26 may be extremely limited.

To determine the abilities of the three rat anti- δ mAb to stimulate B cell DNA synthesis in vitro, purified B cells were cultured for 3 days with 1 to 100 μ g of each of these antibodies or a control antibody in the presence or absence of 100 U of mouse rIL-4 (a gift of Dr. Alan Levine, St. Louis, MO), then pulsed with 3 H-thymidine, harvested, and analyzed for 3 H content by scintillation spectroscopy (Fig. 1). Both HB δ -6 and HB δ -7 induced significant increases in DNA synthesis in the absence of IL-4, and much larger increases in the presence of this cytokine, whereas 11-26 failed to induce increases in DNA synthesis in the absence or presence of IL-4. Thus, as observed previously with allo-anti-mouse δ mAb, the ability to cross-link IgD correlated well with the ability to stimulate B cell DNA synthesis in vitro (46).

Determination of abilities of rat IgG2a anti-mouse δ mAb to stimulate an in vivo, polyclonal IgG1 response. To determine the abilities of the rat anti-mouse δ mAb that we had characterized to induce a polyclonal IgG1 response when injected into BALB/c mice, mice were injected with 20 to 640 μ g of 11-26, HB δ -6, or HB δ -7, in the presence or absence of 500 μ g of 24G2 (rat IgG2 anti-mouse Fc γ RII), and were bled 9 days later. HB δ -6 stimulated a large increase in serum IgG1 level (Fig. 2) at a dose of 40 μ g/mouse, whereas the response to HB δ -7

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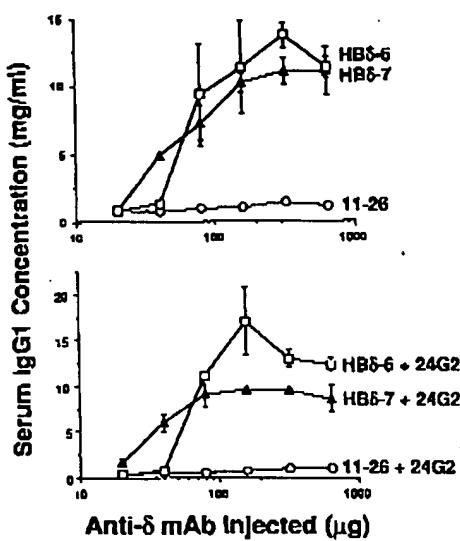


Figure 2. Stimulation of polyclonal IgG1 responses by rat IgG2a anti-mouse IgD mAb. BALB/c mice (five/group) were injected i.v. with 20 to 640 μ g of purified 11-26, HB5-6, or HB5-7 (upper panel), or with the same antibodies plus 500 μ g of 24G2 (lower panel). Mice were bled 9 days after mAb injection and sera were analyzed for IgG1 content by radial immunodiffusion. Geometric means and SE are shown.

was first seen at a dose of 80 μ g/mouse. Responses to both antibodies reached peak or plateau levels at a dose of 160 to 320 μ g/mouse. In contrast, 11-26 failed to induce more than a very small IgG1 response at any dose tested. Repeat bleeds made 13 days after 11-26 injection showed no further increases in serum IgG1 level (not shown). Injection of 24G2 by itself had no effect on serum IgG1 levels, and this antibody failed to substantially modify the responses made to any of the rat anti-mouse δ mAb (Fig. 2). Inasmuch as HB5-6 and HB5-7 effectively cross-link IgD and activate B lymphocytes directly, whereas 11-26, which binds well to IgD, fails to have these effects, these observations suggest that mlgD cross-linking capacity is an important determinant of the ability of an anti- δ antibody to stimulate a polyclonal IgG1 response *in vivo*.

Stimulation of *in vivo* polyclonal IgG1 response by intact, divalent, alloanti- δ mAb that effectively cross-links mlgD and by its monovalent Fab/Fc fragment. We have previously shown that H $\delta^a/1$, a monoclonal IgG2b of the b allotype that binds to and effectively crosslinks IgD of the a allotype, is an effective stimulator of B cell activation both *in vitro* and *in vivo*. The univalent Fab/Fc fragment of this antibody has some ability to activate B cells when injected *in vivo*. This *in vivo* B cell-activating ability appears to depend on an interaction between H $\delta^a/1$ Fab/Fc with Fc γ RII receptor-bearing cells, which may indirectly crosslink the mlgD to which the H $\delta^a/1$ Fab/Fc has bound. The ability of a monoclonal anti-Fc γ RII antibody, 24G2, to completely block H $\delta^a/1$ Fab/Fc induction of increased B cell Ig expression *in vivo*, without affecting the ability of intact H $\delta^a/1$ to induce increased Ig expression (49), supports this view. Inasmuch as Fab/Fc fragments, unlike univalent Fab fragments, have a long *in vivo* half-life and possess all of the antigenic determinants of intact IgG, comparison of the abilities of intact H $\delta^a/1$ and H $\delta^a/1$ Fab/Fc, in the presence or absence of 24G2, provides a well controlled way to study the possible contribution of mlg cross-linking to the generation of a T-dependent antibody response. Injection of BALB/c mice

with 100 μ g of either intact H $\delta^a/1$ or H $\delta^a/1$ Fab/Fc stimulated a considerable increase in serum IgG1 9 days later. However, 24G2 almost completely inhibited the ability of this dose of H $\delta^a/1$ Fab/Fc to induce an IgG1 response, whereas it considerably enhanced the ability of intact H $\delta^a/1$ to do so (Fig. 3). These effects of 24G2 were not shared by another rat IgG2 mAb, B3B4, which binds to CD23 (Fc ϵ RII) (53). This mAb, which fails to inhibit the ability of H $\delta^a/1$ Fab/Fc to activate B cells *in vivo* (49), also has little effect on the ability of either intact H $\delta^a/1$ or H $\delta^a/1$ Fab/Fc to induce polyclonal IgG1 production. These observations indicate that an interaction between Fc γ RII on one cell and H $\delta^a/1$ Fab/Fc that has bound to mlgD on a second cell, which promotes cross-linking of the H $\delta^a/1$ -Fab/Fc-bound mlgD, contributes greatly to the generation of a polyclonal IgG1 response in mice injected with this mAb fragment. The mechanism by which anti-Fc γ RII mAb enhances the IgG1 response to intact H $\delta^a/1$ is less clear, and could result either from blocking of an interaction between Fc γ RII and mlgD on the same cell, which could inhibit B cell activation and differentiation (57, 58), or from T cell recognition of the additional foreign determinants on the rat IgG2b anti-Fc γ RII antibody molecule.

To determine if increasing the dose of H $\delta^a/1$ Fab/Fc injected with 24G2 would allow a large polyclonal IgG1 response to be induced, a dose-response study was performed (Fig. 4). In the presence of 24G2, a considerable increase in serum IgG1 level was induced by as little as 40 μ g of intact H $\delta^a/1$, although little IgG1 production was stimulated by less than 320 μ g of H $\delta^a/1$ Fab/Fc; 40 μ g of intact H $\delta^a/1$ induced a larger IgG1 response than did 640 μ g of H $\delta^a/1$ Fab/Fc; and 640 μ g of intact H $\delta^a/1$ stimulated an IgG1 response that was more than 14-fold larger than that induced by H $\delta^a/1$ Fab/Fc. However, unlike 11-26, a high dose of H $\delta^a/1$ Fab/Fc in the presence of 24G2 can stimulate a several-fold increase in IgG1 production.

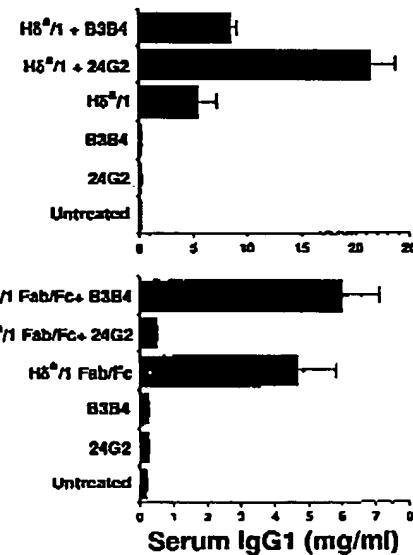


Figure 3. Anti-Fc γ RII mAb has opposite effects on the stimulation of a polyclonal IgG1 response by monovalent and divalent forms of an IgG2b anti-IgD mAb. BALB/c mice (five/group) were left untreated or were injected i.v. with 500 μ g of B3B4 anti-Fc ϵ RII mAb or 24G2 anti-Fc γ RII mAb, or with 100 μ g of intact H $\delta^a/1$ (upper panel) or H $\delta^a/1$ Fab/Fc (lower panel), or with combinations of anti-Fc ϵ RII and anti- δ mAb as indicated. Mice were bled 9 days after antibody injection and sera were analyzed for IgG1 content by radial immunodiffusion. Geometric means and SE are shown.

IgD CROSS-LINKING AND POLYCLONAL ANTIBODY PRODUCTION

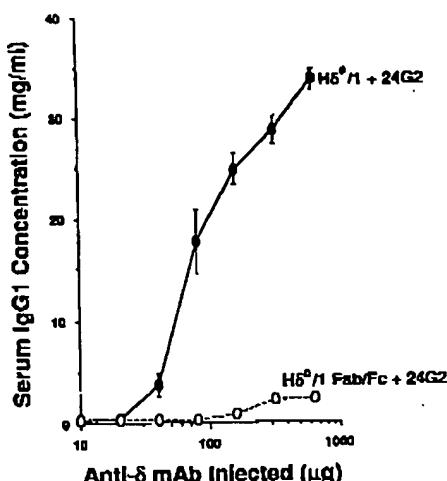


Figure 4. Stimulation of polyclonal IgG1 antibody production by monovalent and divalent forms of an allo-anti- δ mAb. BALB/c mice (three/group) were injected i.v. with 500 μ g of 24G2 plus 10 to 640 μ g of either intact H $\delta^a/1$ or H $\delta^a/1$ Fab/Fc. Mice were bled 9 days after mAb injection and serum IgG1 content was determined by radial immunodiffusion. Geometric means and SE are shown.

Synergistic stimulation of polyclonal IgG1 response in $(a \times b$ allotype)F1 mice by an anti- δ mAb that effectively cross-links mIgD but is not recognized as foreign and anti- δ mAb that fails to effectively cross-link mIgD but is recognized as foreign. IgG2 mAb of the b allotype that bind to IgD of the a allotype fail to stimulate a polyclonal IgG1 response when injected into $(a \times b$ allotype)F1 mice, because these mice, which have circulating IgG antibodies of both the a and the b allotype, lack T cells that recognize these allo-anti- δ mAb as foreign. The injection of such mice with H $\delta^a/1$, for example, selectively cross-links the mIgD on the 50% of mIgD $^+$ B cells in these mice that express IgD of the a allotype and activates these cells, but fails to activate T cells of these mice or to stimulate polyclonal IgG1 production (S. Morris, manuscript in preparation). We determined if a combination of H $\delta^a/1$, which would effectively cross-link mIgD but not be recognized as foreign by these mice, and the rat IgG2a anti- δ mAb, 11-26, which fails to effectively cross-link mIgD but is foreign to mice, would synergistically stimulate a polyclonal IgG1 response by such mice. Inasmuch as 11-26 and H $\delta^a/1$ bind to different parts of the IgD molecule and do not inhibit each other's binding, H $\delta^a/1$ -induced capping and endocytosis of mIgD would also cause the internalization of 11-26 that had bound to mIgD. F1 progeny of BALB/c (a allotype) mice and CB20 mice, which are congenic to BALB/c mice but express Ig of the b allotype, were used for this experiment. Injection of these mice with either 100 μ g of H $\delta^a/1$ or 160 μ g of 11-26 failed to induce a significant increase in serum IgG1 level, whereas injection of both mAb induced a four- to five-fold increase (Fig. 5). Injection of $(a \times b$ allotype)F1 mice with a combination of H $\delta^a/1$ and either normal rat IgG or rat IgG2b anti-Fc γ RII (24C2) failed to induce a polyclonal IgG1 response (data not shown). These observations, thus, provide further evidence that mIg cross-linking, whereas not sufficient to induce an in vivo polyclonal Ig response, can contribute to such a response.

Comparison of abilities of mouse IgG2a mAb that differ in avidity for IgD to stimulate in vivo polyclonal IgG1 response. Inasmuch as the univalent Fab/Fc frag-

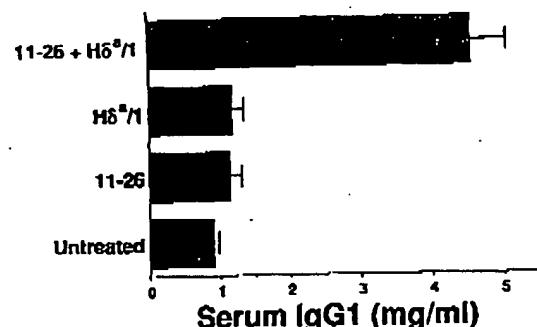


Figure 5. Synergy between a foreign anti- δ mAb that fails to effectively cross-link mIgD and a self mAb that effectively cross-links mIgD in the induction of a polyclonal IgG1 response. (BALB/c \times CB20)F1 mice (five/group), which are heterozygous for the Ig a and b allotypes, were left untreated or were injected i.v. with 160 μ g of 11-26 (rat IgG2a anti- δ mAb that does not effectively cross-link mIgD), 100 μ g of H $\delta^a/1$ (mouse IgG2b of the b allotype anti-IgD of the a allotype that effectively cross-links mIgD of the a allotype) or with both of these mAb, and were bled 9 days later. Serum IgG1 levels were determined by radial immunodiffusion. Geometric means and SE are shown.

ment of H $\delta^a/1$ would be expected to bind to IgD less avidly than intact H $\delta^a/1$, it was possible that the decreased avidity of this fragment for IgD, rather than its decreased cross-linking ability, was responsible for its diminished ability to induce an IgG1 response in vivo in the presence of anti-Fc γ RII. To examine this possibility, and to test the relationship between avidity of anti- δ mAb and their ability to stimulate an in vivo polyclonal IgG1 response, BALB/c mice were injected with 20 to 640 μ g of one of three mouse IgG2a anti- δ mAb that differed markedly in avidity and their serum IgG1 levels were measured. The three mAb tested, AMS-15, AF4.70, and FF1-4D5, all bind IgDFd of the a allotype. AF4.70 has such low avidity for IgD of the a allotype that it stains B cells that express this allotype poorly, and this weak staining of B cells by FITC-AF4.70 is difficult to inhibit by even high concentrations of soluble IgD (46). In addition, AF4.70 exhibits barely detectable binding to ELISA wells coated with even high concentrations of soluble IgD (Fig. 6, main graph). FF1-4D5 stains mIgD $^+$ B cells well, and binds with moderately high avidity to soluble IgD. In an ELISA in which high avidity antibodies are characterized by their abilities to bind to wells coated with low Ag concentrations (59), FF1-4D5, however, appeared to have a lower avidity for IgD than either H $\delta^a/1$ or its Fab/Fc fragment (Fig. 6, main graph). The apparently more avid binding of IgD by intact H $\delta^a/1$ and its Fab/Fc fragment, as compared to its binding by FF1-4D5 and AF4.70, is not a result of better binding of the rabbit anti-mouse IgG2 antibody used in this ELISA to IgG2b antibodies than to IgG2a antibodies. This is demonstrated by the observation that our rabbit anti-mouse IgG2 antibody bound equivalently to H $\delta^a/1$ and FF1-4D5 when both anti- δ mAb were applied directly to microtiter plate wells (Fig. 6, insert). In other assays, AMS-15 has been found to bind soluble and mIgD at least as avidly as H $\delta^a/1$ (60).

None of the IgG2a alloanti- δ mAb is a strong cross-linker of B cell mIgD, and none is able to induce B cells to proliferate in vitro. However, all have some cross-linking and B cell activating ability, and surprisingly despite their marked differences in avidity, all have similar abilities to induce B cells to increase MHC class I expression in vivo (Table III). All of these antibodies also were able to induce a large polyclonal IgG1 response which

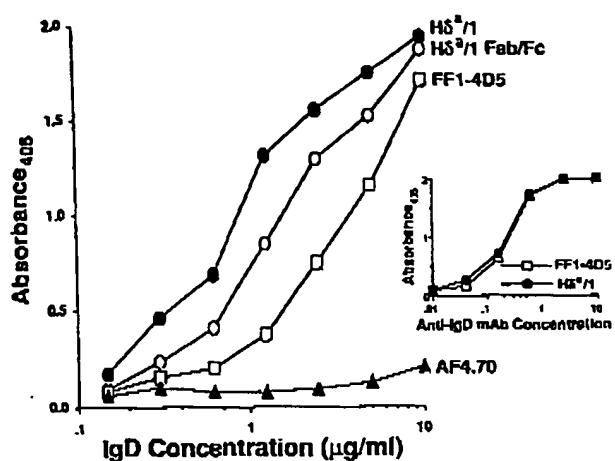


Figure 6. Avidity of mouse allo-anti- δ mAb. Main graph. Round-bottomed polyvinyl microtiter plate wells were coated with 0.15 to 10 μ g/ml of a purified mouse IgD plasmacytoma protein and blocked with OVA. A total of 50 μ l of 10 μ g/ml of Hs $\delta/1$, Hs $\delta/1$ Fab/Fc, FF1-4D5, or AF4.70 were then added to coated wells, to which, after washing, rabbit anti-mouse $\gamma 2$ antibody, alkaline phosphatase-labeled goat anti-rabbit Ig, and substrate were added sequentially. A_{405} was determined for each well with an automated microtiter plate reader. Duplicate values were obtained for each mAb at each IgD concentration; mean values are shown. Inset graph. Round-bottomed polyvinyl microtiter plate wells were coated directly with 0.01 to 10 μ g of either Hs $\delta/1$ or FF1-4D5 and blocked with OVA. Rabbit anti-mouse $\gamma 2$ antibody, alkaline phosphatase-labeled goat anti-rabbit Ig, and substrate were added sequentially. A_{405} values were determined in duplicate as above.

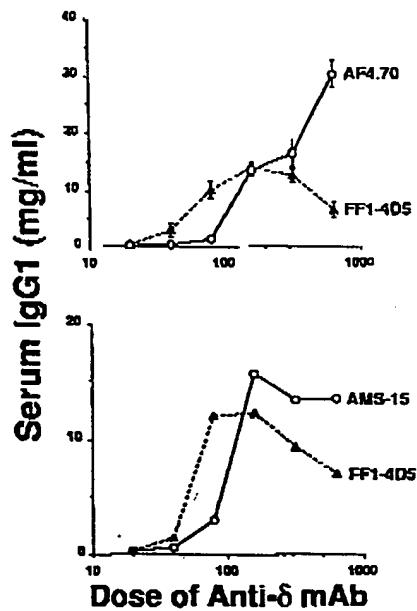


Figure 7. Determination of quantities of IgG2a allo-anti-mouse δ mAb required to induce a polyclonal IgG1 response in BALB/c mice. BALB/c mice (five/group) were injected i.v. with 20 to 640 μ g of AF4.70 or FF1-4D5 (upper panel) or with AMS-15 or FF1-4D5 (lower panel). Mice were bled 9 days after mAb injection and serum IgG1 concentrations were determined by radial immunodiffusion. Geometric means and SE are shown.

injected into BALB/c mice (Fig. 7). FF1-4D5 was able to induce this response at approximately half the dose of either AMS-15 or AF4.70. Anti-Fc γ RII mAb, injected simultaneously with any of these anti- δ mAb, had little effect on their abilities to induce polyclonal IgG1 production (data not shown), possibly because it fails to block their binding to Fc γ RI, the high affinity, IgG2a-specific FcR that is expressed by macrophages (61). Supplementation of any of these IgG2a alloanti- δ mAb with CBPC-101, a mouse IgG2a of the b allotype that does not bind

to any murine Ag, also had no effect on the abilities of these mAb to stimulate a polyclonal IgG1 response (data not shown). Thus, even low avidity binding of an anti- δ mAb to mIgD, as long as it has some ability to cross-link mIgD and activate B cells, is able to induce a T-dependent polyclonal IgG1 response.

DISCUSSION

The experiments described in this report were performed to determine whether the ability of an antigen to cross-link mIgD and to directly activate B lymphocytes can contribute to its ability to induce an IgG antibody response *in vivo*. Studies were performed with sets of anti-IgD antibodies that differed in their abilities to cross-link mIgD and activate B cells, but were matched for isotypic, allotypic and species determinants to eliminate foreignness for T cells as a factor that could influence the generation of an antibody response. Our observations suggest that these activities can contribute considerably to the generation of an antibody response. Comparison of three rat mAb of the same isotype and avidity for IgD indicated that two which effectively cross-link mIgD and directly activate B cells could stimulate a polyclonal IgG1 response, whereas one mAb that fails to effectively cross-link mIgD or directly activate B cells had little ability to induce polyclonal IgG1 production. Although the stimulatory and non-stimulatory rat mAb also differ in the site of the IgD molecule to which they bind, this is probably not an important determinant of their ability to induce a polyclonal IgG1 response, because three allo-anti- δ mAb, which bind to the same part of the IgD molecule as the non-stimulatory rat mAb but have greater ability to directly activate B cells, were effective inducers of polyclonal IgG1 production. Furthermore, experiments with an intact IgG2b anti- δ mAb that effectively cross-links mIgD and directly activates B cells, and its Fab/Fc fragment, which has some ability to directly activate B cells *in vivo* in the absence, but not in the presence of an anti-Fc γ RII mAb (49), also demonstrate a strong correlation between the ability to directly activate B cells and the ability to induce a T-dependent IgG1 response. These experiments clearly eliminate the possibility that the site of the IgD molecule bound is an important determinant of the ability to induce a polyclonal IgG response. Differences between the avidity of IgD binding by the intact mAb and its Fab/Fc fragment are also not likely to be important determinants of the ability to induce polyclonal IgG1 production, because 1) this would not explain why anti-Fc γ RII inhibits the ability of the Fab/Fc fragment to stimulate IgG1 production whereas it enhances the ability of the intact antibody to stimulate IgG1 production; and 2) IgG2a allo-anti- δ mAb that bind mIgD much less avidly than the Hs $\delta/1$ Fab/Fc fragment, but have some ability to directly activate B cells, are effective inducers of polyclonal IgG1 production. The importance of T cell recognition of foreignness, as well as mIg cross-linking, for anti- δ antibody induction of a polyclonal Ig response was demonstrated by the results of an experiment in which neither a rat IgG2a anti- δ mAb, which was seen as foreign by ($\alpha \times \beta$ allotype)F1 mice but fails to effectively cross-link mIgD, nor an antibody to IgD of the α allotype, that is seen as self by these mice but effectively cross-links mIgD on their α allotype-expressing B cells, could stimulate a polyclonal IgG1 response in these

mice, whereas a combination of these anti- δ mAb stimulated a significant response.

Although our studies indicate that cross-linking of mIgD that results directly in B cell activation can contribute to the generation of an antibody response, they do not identify the mechanism by which this occurs. At least three different mechanisms, which are not mutually exclusive, are possible. First, the activation of the B cell by the cross-linking of its mIgD could contribute directly to its proliferation and differentiation into an Ig-secreting cell. Although mIg cross-linking alone is unable to induce Ig secretion, it can act synergistically with cytokine help to achieve this result (7, 8) and has been shown to induce increased expression of B cell receptors for T cell-produced helper factors (9). Second, the cross-linking of mIgD might enhance internalization and processing of Ag, so that more Ag could be presented in an immunogenic form to Ag-specific T lymphocytes. In vitro studies have, in fact, demonstrated that although univalent Fab fragments of anti-Ig antibodies can be internalized by the B cell, they are processed differently from intact divalent anti-Ig antibodies that have entered the cell via an mIg cross-linking mechanism in that only the divalent antibodies enter an intracellular compartment that is acidified (35, 62). Third, it is possible that changes in the activation state of the B cell that result from the cross-linking of its mIg, such as increased expression of class II MHC Ag (5), enhance its ability to present Ag to T lymphocytes even if antigen digestion per se is not affected.

Our observations appear to conflict with the results of some in vitro studies. Parker and coworkers (27, 40) have demonstrated, for example, that univalent Fab fragments of rabbit anti-mouse Ig antibody are as effective as divalent F(ab')₂ fragments of this antibody at mediating an interaction between mouse B cells and rabbit IgG Fab-specific helper T cells that stimulates polyclonal B cell activation and antibody production. Casten and coworkers (38, 39) have similarly found that Ag linked to Fab fragments of anti-Ig antibody is as effectively presented by B cells to T cells as is Ag linked to F(ab')₂ fragments of the same antibody. The apparent discrepancy between these observations and ours may reflect the fact that the T cells used in the in vitro experiments were already partially activated and had been selected for high avidity for Ag, although in our in vivo system, Ag must be presented to resting T cells that do not necessarily recognize it with high avidity. It is possible that there are more stringent Ag processing and presentation requirements for the initial Ag-specific activation of T cells than for reactivation or further activation of previously activated or partially activated T cells.

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